

HUMPBACK WHALE (*Megaptera novaeangliae*): Western North Pacific Stock

STOCK DEFINITION AND GEOGRAPHIC RANGE

The humpback whale is distributed worldwide in all ocean basins. In winter, most humpback whales occur in the subtropical and tropical waters of the Northern and Southern Hemispheres. Humpback whales in the high latitudes of the North Pacific are seasonal migrants that feed on euphausiids and small schooling fishes (Nemoto 1957; 1959, Clapham and Mead 1999). The humpback whale population was considerably reduced as a result of intensive commercial exploitation during the 20th century.

A large-scale study of humpback whales throughout the North Pacific was conducted in 2004-06 (the Structure of Populations, Levels of Abundance, and Status of Humpbacks (SPLASH) project). Initial results from this project (Calambokidis et al. 2008, Barlow et al. 2011), including abundance estimates and movement information, have been reported in Baker et al. (2008), and are also summarized in Fleming and Jackson (2011); however, these results are still being considered for stock structure analysis.

The historic summer feeding range of humpback whales in the North Pacific encompassed coastal and inland waters around the Pacific Rim from Point Conception, California, north to the Gulf of Alaska and the Bering Sea, and west along the Aleutian Islands to the Kamchatka Peninsula and into the Sea of Okhotsk and north of the Bering Strait (Zenkovich 1954, Nemoto 1957, Tomlin 1967, Johnson and Wolman 1984). Historically, the Asian wintering area extended from the South China Sea east through the Philippines, Ryukyu Retto, Ogasawara Gunto, Mariana Islands, and Marshall Islands (Rice 1998). Humpback whales are currently found throughout this historic range, with sightings during summer months occurring as far north as the Beaufort Sea (Hashagen et al. 2009). Most of the current winter range of humpback whales in the North Pacific is relatively well known, with aggregations of whales in Japan, the Philippines, Hawaii, Mexico, and Central America. The winter range includes the main islands of the Hawaiian archipelago, with the greatest concentration along the west side of Maui. In Mexico, the winter range includes waters around the southern part of the Baja California peninsula, the central portions of the Pacific coast of mainland Mexico, and the Revillagigedos Islands off the mainland coast. The winter range also extends from southern Mexico into Central America, including Guatemala, El Salvador, Nicaragua, and Costa Rica (Calambokidis et al. 2008).

Photo-identification data, distribution information, and genetic analyses have indicated that in the North Pacific there are at least three breeding populations (Asia, Hawaii, and Mexico/Central America) that all migrate between their respective winter/spring calving and mating areas and their summer/fall feeding areas (Calambokidis et al. 1997, Baker et al. 1998). Calambokidis et al. (2001) further suggested that there may be as many as six subpopulations on the wintering grounds. From photo-identification and Discovery tag mark information there are known connections between Asia and Russia, between Hawaii and Alaska, and between Mexico/Central America and California (Calambokidis et al. 1997, Baker et al. 1998, Darling 1991, Darling and Cerchio 1993). This information led to the designation of three stocks of humpback whales in the North Pacific: 1) the California/Oregon/Washington and Mexico stock, consisting of winter/spring populations in coastal Central

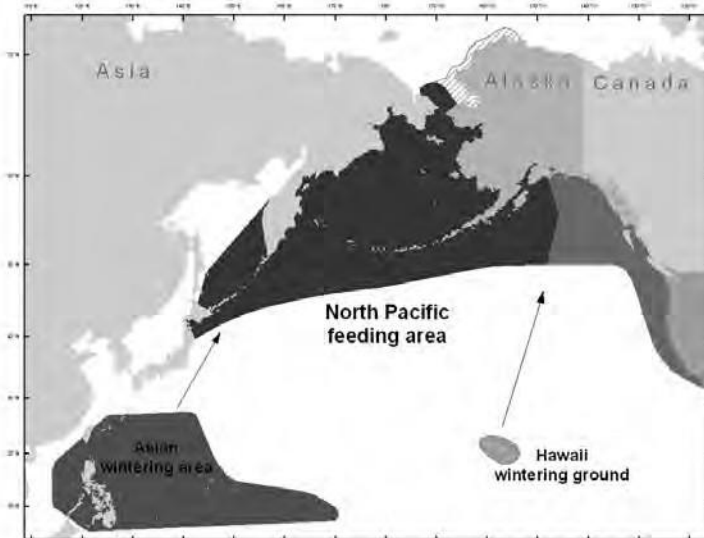


Figure 35. Approximate distribution of humpback whales in the western North Pacific (shaded area). Feeding and wintering grounds are presented above (see text). Area within the hash lines is a probable distribution area based on sightings in the Beaufort Sea (Hashagen et al. 2009). See Figure 36 for humpback whale distribution in the eastern North Pacific.

America and coastal Mexico which migrate to the coast of California to southern British Columbia in summer/fall (Calambokidis et al. 1989, Steiger et al. 1991, Calambokidis et al. 1993); 2) the Central North Pacific stock, consisting of winter/spring populations of the Hawaiian Islands which migrate primarily to northern British Columbia/Southeast Alaska, the Gulf of Alaska, and the Bering Sea/Aleutian Islands (Baker et al. 1990, Perry et al. 1990, Calambokidis et al. 1997); and 3) the Western North Pacific stock, consisting of winter/spring populations off Asia which migrate primarily to Russia and the Bering Sea/Aleutian Islands.

New information from the SPLASH project mostly confirms this view of humpback whale distribution and movements in the North Pacific. For example, the SPLASH results confirm low rates of interchange between the three principal wintering regions (Asia, Hawaii, and Mexico). However, the full SPLASH results suggest the current view of population structure is incomplete. The overall pattern of movements is complex but indicates a high degree of population structure. Whales from wintering areas at the extremes of their range on both sides of the Pacific migrate to coastal feeding areas on the same side: whales from Asia in the west migrate to Russia and whales from mainland Mexico and Central America in the east migrate to California-Oregon. Whales from Hawaii and Mexico's offshore islands in the Revillagigedo Archipelago migrate to more central- and northern-latitude feeding areas, with considerable overlap (Calambokidis et al. 2008). Humpback whales from the Revillagigedos have been previously documented migrating to feeding areas off California, British Columbia, southeastern Alaska, Prince William Sound, and the Kodiak Island area (Gabriele et al. 1996, Calambokidis et al. 1997), and more recently Witteveen et al. (2004) reported matches between whales photographed at the Shumagin Islands in the western Gulf of Alaska between 1999 and 2002 and whales photographed in the Revillagigedos.

The SPLASH data now show the Revillagigedos whales are seen in all sampled feeding areas except California-Oregon and the south side of the Aleutians, and are primarily distributed in the Bering Sea, Gulf of Alaska, and Southeast Alaska/northern British Columbia, but are also found in Russia and southern British Columbia/Washington. The migratory destinations of humpback whales from Hawaii were found to be quite similar, and a number of matches (14) were seen during SPLASH between Hawaii and the Revillagigedos (Calambokidis et al. 2008). This suggests a need for some modification to the current view of winter breeding populations. A revision of population structure in the North Pacific, possibly similar to the structure based on summer feeding areas for the Atlantic population, will be considered when the full genetic results from the SPLASH project are available.

The winter distribution of humpback whales in the western stock includes several island chains in the western North Pacific. In the Ogasawara Islands, humpback sampling during SPLASH was conducted at the three main island groups of Chichi-jima, Haha-jima, and Muko-jima, separated from each other by ~50-70 km. SPLASH sampling in Okinawa (southwest of Honshu) occurred at the Okinawa mainland and Zamami in the Kerama Islands (40 km from the Okinawa mainland), and in the Philippines SPLASH sampling occurred only at the northern tip of the archipelago around the Babuyan Islands. Humpback whales are reported to also occur in the South China Sea north of the Philippines near Tawian, and east of Ogasawara in the Marshall and Marianas Islands (Rice 1998), but as yet there are no known areas of high density in these regions that could be efficiently sampled. A relevant finding from the SPLASH project is that whales from the Aleutian Islands have an unusually low re-sighting rate in winter areas compared to whales from other feeding areas. To a lesser extent this is also true of whales from the Gulf of Anadyr in Russia and the Bering Sea. One explanation for this result could be that some of these whales have a winter migratory destination that was not sampled during the SPLASH project. No areas with high densities of humpback whales are known between the Hawaiian main islands and Ogasawara, but this could be due to a lack of search effort.

The migratory destination of western North Pacific humpbacks is not completely known. Discovery tag recaptures have indicated movement of whales between Ogasawara and Okinawa and feeding areas in the Bering Sea, on the southern side of the Aleutian Islands, and in the Gulf of Alaska (Nishiwaki 1966, Omura and Ohsumi 1964, Ohsumi and Masaki 1975). Research on humpback whales at the Ogasawara Islands has documented recent movements of whales between there and British Columbia (Darling et al. 1996), the Kodiak Archipelago in the central Gulf of Alaska (Calambokidis et al. 2001), and the Shumagin Islands in the western Gulf of Alaska (Witteveen et al. 2004), but no photo-identification studies had previously been conducted in Russia. Individual movement information from the SPLASH study documents that Russia is likely the primary migratory destination for whales in Okinawa and the Philippines, but also re-confirms that some Asian whales go to Ogasawara, the Aleutian Islands, Bering Sea, and Gulf of Alaska (Calambokidis et al. 2008). A small amount of inter-yearly interchange was also found between the wintering areas (Philippines, Okinawa, and Ogasawara).

During the SPLASH study in Russia humpback whales were primarily found along the Pacific east side of the Kamchatka Peninsula, near the Commander Islands between Kamchatka and the Aleutians Islands, and in the Gulf of Anadyr just southwest of the Bering Strait. Analysis of whaling data show historical catches of humpback

whales well into the Bering Sea and catches in the Bering Strait and Chukchi Sea from August-October in the 1930s (Mizroch and Rice 2007), but no survey effort occurred during SPLASH north of the Bering Strait. Other locations in the far western Pacific where humpback whales have been seen in summer include the northern Kuril Islands (V. Burkanov, AFSC-NMML, pers. comm.), far offshore southeast of the Kamchatka Peninsula and south of the Commander Islands (Miyashita 2006), and along the north coast of the Chukotka Peninsula in the Chukchi Sea (Melnikov 2000).

These results indicate humpback whales from the western North Pacific (Asian) breeding stock overlap broadly on summer feeding grounds with whales from the central North Pacific breeding stock, as well as with whales that winter in the Revillagigedos in Mexico. Given the relatively small size of the Asian population, Asian whales probably represent a small fraction of all the whales found in the Aleutian Islands, Bering Sea, and Gulf of Alaska, which are primarily whales from Hawaii and the Revillagigedos. The only feeding area that appears to be primarily (or exclusively) composed of Asian whales is along the Kamchatka Peninsula in Russia. The initial SPLASH abundance estimates for Asia ranged from about 900-1,100, and the estimates for Kamchatka in Russia ranged from about 100-700, suggesting a large portion of the Asian population occurs near Kamchatka. This also shows that Asian whales that migrate to feeding areas besides Russia would be only a small fraction of the total number of whales in those areas, give the much larger abundance estimates for the Bering Sea and Aleutian Islands (6,000-14,000) and the Gulf of Alaska (3,000-5,000) (Calambokidis et al. 2008). A full description of the distribution and density of humpback whales in the Aleutian Islands, Bering Sea, and Gulf of Alaska is in the Stock Assessment Report for the Central North Pacific stock of humpback whales.

In summary, information from a variety of sources indicates that humpback whales from the Western and Central North Pacific stocks mix to a limited extent on summer feeding grounds ranging from British Columbia through the central Gulf of Alaska and up to the Bering Sea.

POPULATION SIZE

In the SPLASH study fluke photographs were collected by over 400 researchers in all known feeding areas from Russia to California and in all known wintering areas from Okinawa and the Philippines to the coast of Central America and Mexico during 2004-2006. Over 18,000 fluke identification photographs were collected, and these have been used to estimate the abundance of humpback whales in the entire North Pacific Basin. Based on a comparison of all winter identifications to all summer identifications, the Chapman-Petersen estimate of abundance is 21,808 (CV=0.04) (Barlow et al. 2011). A simulation study identifies significant biases in this estimate from violations of the closed population assumption (+5.3%), exclusion of calves (-10.3%), failure to achieve random geographic sampling (+1.5%), and missed matches (+9.8%) (Barlow et al. 2011). Sex-biased sampling favoring males in wintering areas does not add significant bias if both sexes are proportionately sampled in the feeding areas. The bias-corrected estimate is 20,800 after accounting for a net positive bias of 4.8%. This estimate is likely to be lower than the true abundance due to two additional sources of bias: individual heterogeneity in the probability of being sampled (un-quantified) and the likely existence of an unknown and un-sampled wintering area (-7.2%).

During the SPLASH study surveys were conducted in three winter field seasons (2004-06). The total numbers of unique individuals found in each area during the study were 77 in the Philippines, 215 in Okinawa, and 294 in the Ogasawara Islands. There were a total of 20 individuals seen in more than one area, leaving a total of 566 unique individuals seen in the Asian wintering areas. For abundance in winter or summer areas, a Hilborn mark-recapture model was used, which is a form of a spatially-stratified model that explicitly estimates movement rates between winter and summer areas. Two broad categories of models were used making different assumptions about the movement rates, and four different models were used for capture probability. Point estimates of abundance for Asia (combined across the three areas) were relatively consistent across models, ranging from 938 to 1,107. The model that fit the data the best (as selected by AICc) gave an estimate of 1,107 for the Ogasawara Islands, Okinawa, and the Philippines. Confidence limits or CVs have not yet been calculated for the SPLASH abundance estimates. Although no other high density aggregations of humpback whales are known on the Asian wintering ground, whales have been seen in other locations, indicating this is likely to represent an underestimate of the stock's true abundance to an unknown degree.

On the summer feeding grounds, the initial SPLASH abundance estimates for Kamchatka in Russia ranged from about 100-700, suggesting a large portion of the Asian population occurs near Kamchatka. No separate estimates are available for the other areas in Russia, the Gulf of Anadyr and the Commander Islands; abundance from those areas is included in the estimate of abundance for the Bering Sea and Aleutian Islands, which ranged from about 6,000 to 14,000. Abundance estimates for the Gulf of Alaska and for Southeast Alaska/northern British Columbia both ranged from 3,000-5,000 (Calambokidis et al. 2008).

From line-transect surveys Moore et al. (2000) estimated abundance of humpback whales in the central Bering Sea as 1,175 humpback whales (95% CI: 197-7,009) in 1999, though Moore et al. (2002) suggested these sightings were too clumped in the central-eastern Bering Sea to be used to provide a reliable estimate for the area. Moore et al. (2002) estimated abundance as 102 (95% CI: 40-262) for humpback whales in the eastern Bering Sea in 2000. Zerbini et al. (2007) estimated abundance of humpback whales from line-transect surveys as 2,644 (95% CI 1,899–3,680) for coastal/shelf waters from the central Gulf of Alaska through the eastern Aleutian Islands. Although there is a small amount of overlap between these surveys in the eastern Aleutian Islands, this suggests a combined total of about 4,000 whales, considerably less than the SPLASH abundance estimates, which range from 9,000 to 19,000 combined for the Aleutian Islands, Bering Sea, and Gulf of Alaska. However, the SPLASH surveys were more extensive in scope, including areas not covered in those surveys, such as parts of Russian waters (Gulf of Anadyr and Commander Islands), the western and central Aleutian Islands, offshore waters in the Gulf of Alaska and Aleutian Island, and Prince William Sound. Additionally, mark-recapture estimates can be higher than line-transect estimates because they estimate the total number of whales that have used the study area during the study period, whereas line-transect surveys provide a snapshot of average abundance in the survey area at the time of the survey.

Minimum Population Estimate

As discussed above, point estimates of abundance for Asia ranged from 938 to 1,107 (for 2004 to 2006), but no associated CV has yet been calculated. The 1991-93 abundance estimate for Asia using similar (though likely less) data had a CV of 0.084. Therefore, it is unlikely the CV of the SPLASH estimate, once calculated, would be greater than 0.300. The minimum population estimate (N_{MIN}) for this stock is calculated according to Equation 1 from the PBR Guidelines (Wade and Angliss 1997): $N_{MIN} = N/\exp(0.842 \times [\ln(1 + [CV(N)]^2)]^{1/2})$. Using the population estimate (N) of 938 and an assumed conservative CV(N) of 0.30 would result in an N_{MIN} for this humpback whale stock of 732. Additionally, a total of 566 unique individuals were seen in the Asian wintering areas during the 2-year period (3 winter field seasons) of the SPLASH study.

Current Population Trend

The SPLASH abundance estimate for Asia represents a 6.7% annual rate of increase over the 1991-93 abundance estimate (Calambokidis et al. 2008). However, the 1991-93 estimate was for Ogasawara and Okinawa only, whereas the SPLASH estimate includes the Philippines, so the annual rate of increase is biased high to an unknown degree. No confidence limits are available as yet for the rate of increase.

CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Utilizing a birth-interval model, Barlow and Clapham (1997) have estimated a population growth rate of 6.5% (SE = 1.2%) for the well-studied humpback whale population in the Gulf of Maine, although there are indications that this rate has slowed in recent years (Clapham et al. 2003). Mobley et al. (2001) estimated a trend of 7% for 1993-00 using data from aerial surveys that were conducted in a consistent manner for several years across all of the Hawaiian Islands and were developed specifically to estimate a trend for the Central North Pacific stock. Mizroch et al. (2004) estimated survival rates for North Pacific humpback whales using mark-recapture methods, and a Pradel model fit to data from Hawaii for the years 1980-1996 resulted in an estimated rate of increase of 10% per year (95% C.I. of 3-16%). For shelf waters of the northern Gulf of Alaska Zerbini et al. (2007) estimated an annual rate of increase for humpback whales from 1987-2003 of 6.6% (95% C.I. of 5.2-8.6%). The SPLASH abundance estimate for the total North Pacific represents an annual increase of 4.9% over the most complete estimate for the North Pacific from 1991-93. Comparisons of SPLASH abundance estimates for Hawaii to estimates from 1991-93 gave estimates of annual increase that ranged from 5.5 to 6.0% (Calambokidis et al. 2008). No confidence limits were calculated for these rates of increase from SPLASH data.

Although there is no estimate of the maximum net productivity rate for the Western stock, it is reasonable to assume that R_{MAX} for this stock would be at least 7%. Hence, until additional data become available from the Western North Pacific humpback whale stock, it is recommended that 7% be employed as the maximum net productivity rate (R_{MAX}) for this stock (Wade and Angliss 1997).

POTENTIAL BIOLOGICAL REMOVAL

Under the 1994 reauthorized Marine Mammal Protection Act (MMPA), the potential biological removal (PBR) is defined as the product of the minimum population estimate, one-half the maximum theoretical net productivity rate, and a recovery factor: $PBR = N_{MIN} \times 0.5R_{MAX} \times F_R$. The recovery factor (F_R) for this stock is 0.1,

the value for cetacean stocks listed as endangered under the Endangered Species Act (Wade and Angliss 1997). Using the lowest SPLASH abundance estimate calculated for 2004 - 2006 of 938 with an assumed CV of 0.300 for the Western North Pacific stock of humpback whale, PBR is calculated to be 2.6 animals ($732 \times 0.035 \times 0.1$). Alternatively, using the number of unique individuals seen during the SPLASH study results in a PBR of 2.0 ($566 \times 0.035 \times 0.1$).

ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

New Serious Injury Guidelines

NMFS updated its serious injury designation and reporting process, which uses guidance from previous serious injury workshops, expert opinion, and analysis of historic injury cases to develop new criteria for distinguishing serious from non-serious injury (Angliss and DeMaster 1998, Andersen *et al.* 2008, NOAA 2012). NMFS defines serious injury as an “*injury that is more likely than not to result in mortality.*” Injury determinations for stock assessments revised in 2013 or later incorporate the new serious injury guidelines, based on the most recent 5-year period for which data are available.

Fisheries Information

Until 2004, there were six different federally-regulated commercial fisheries in Alaska that occurred within the range of the Western North Pacific humpback whale stock that were monitored for incidental mortality by fishery observers. As of 2004, changes in fishery definitions in the List of Fisheries have resulted in separating these 6 fisheries into 22 fisheries (69 FR 70094, 2 December 2004). This change does not represent a change in fishing effort, but provides managers with better information on the component of each fishery that is responsible for the incidental serious injury or mortality of marine mammal stocks in Alaska. Between 2007 and 2011, there was one mortality of a Western North Pacific humpback whale in the Bering Sea/Aleutian Islands pollock trawl fishery and one in the Bering Sea/ Aleutian Islands flatfish trawl (Table 41). Average minimum annual mortality from observed fisheries was 0.40 humpbacks from this stock (Table 41).

Table 41. Summary of incidental mortality and serious injury of humpback whales (Western North Pacific stock) due to observed commercial fisheries from 2007 to 2011 and calculation of the mean annual mortality rate (Breiwick 2013). All events occurred within the area of known overlap with the western and central North Pacific humpback whale stocks. Since the stock identification is unknown, the mortalities and serious injuries are reflected in both stock assessments. Details of how percent observer coverage is measured is included in Appendix 6. N/A indicates that data are not available.

Fishery name	Years	Data type	Observer coverage	Observed mortality (in given yrs.)	Estimated mortality (in given yrs.)	Mean annual mortality
BSAI flatfish trawl	2007	obs	72	0	0	0.20 (CV = N/A)
	2008	data	100	0	0	
	2009		100	0	0	
	2010		100	0 (+1)*	0 (1)**0	
	2011		100	0	0	
BSAI pollock trawl	2007	obs	85	0	0	0.20 (CV = 0.08)
	2008	data	85	0	0	
	2009		86	0	0	
	2010		86	1	1.0	
	2011		98	0	0	
Minimum total annual mortality						0.40 (CV = 0.08)

*Total mortalities observed in unsampled hauls.

**Total mortalities observed in sampled and unsampled hauls. Since the total known mortality (1) exceeds the estimated mortality (0) for 2010, the sum of actual mortalities observed (1) will be used as a minimum estimate for that year.

The estimated annual mortality rate incidental to U. S. commercial fisheries is 0.40 whales per year from this stock based on observed fisheries. However, this estimate is considered a minimum because there are no data concerning fishery-related mortalities in Japanese, Russian, or international waters. In addition, there is a small

probability that fishery interactions discussed in the assessment for the Central North Pacific stock may have involved animals from this stock because of the overlap with the Central North Pacific stock.

Strandings of humpback whales entangled in fishing gear or with injuries caused by interactions with gear are another source of mortality data. However, very few stranding reports are received from areas west of Kodiak. The mean annual human-caused mortality and serious injury rate for 2007-2011 based on fishery and gear entanglements reported in the NMFS Alaska Regional Office stranding database is 0.45 (Table 42). These events have not been attributed to a specific fishery listed on the List of Fisheries (76 FR 73912; 29 November 2011). The estimated annual mortality rate due to interactions with all fisheries is 0.85 (0.4 + 0.45).

Table 42. Summary of western North Pacific humpback whale mortalities and serious injuries by year and type reported to the NMFS Alaska Regional Office, marine mammal stranding database, for the 2007-2011 period (Allen et al. 2014). All events occurred within the area of known overlap with the western and central North Pacific humpback whale stocks. Since the stock identification is unknown, the mortalities and serious injuries are reflected in both stock assessments.

Cause of Injury	2007	2008	2009	2010	2011	Mean Annual Mortality
entangled in unknown gillnet gear	0.75	0	0	0	0	0.15
entangled in recreational shrimp pot gear	0	0	0	0	0	0
entangled in unspecified crab gear	0	0	0	0	0	0
entangled in unspecified longline gear	0	0	0	0	0	0
entangled in unspecified pot gear	0	0	0	0	0.75	0.15
entangled in unspecified set net gear	0	0	0	0	0.75	0.15
ship strike (charter)	0.52	0.52	0	0	0	0.21
ship strike (recreational)	0.2	0.56	0	0	0	0.15
ship strike (research)	0	0	0	0	0	0
ship strike (unknown)	0	0	0	0	0	0
unknown marine debris / gear entanglement	0.75	0	0.75	0	2.5	0.8
Minimum total annual mortality						1.61

Brownell et al. (2000) compiled records of bycatch in Japanese and Korean commercial fisheries between 1993 and 2000. During the period 1995-99, there were six humpback whales indicated as “bycatch”. In addition, two strandings were reported during this period. Furthermore, analysis of four samples from meat found in markets indicated that humpback whales are being sold. At this time, it is not known whether any or all strandings were caused by incidental interactions with commercial fisheries; similarly, it is not known whether the humpback whales identified in market samples were killed as a result of incidental interactions with commercial fisheries. It is also not known which fishery may be responsible for the bycatch. Regardless, these data indicate a minimum mortality level of 1.1/year (using bycatch data only) to 2.4/year (using bycatch, stranding, and market data) in the waters of Japan and Korea. Because many mortalities pass unreported, the actual rate in these areas is likely much higher. An analysis of entanglement rates from photographs collected for SPLASH found a minimum entanglement rate of 31% for humpback whales from the Asia breeding grounds (Cascadia Research NFWF Report #2003-0170-019).

Subsistence/Native Harvest Information

There are no reported takes of humpback whales from this stock by subsistence hunters in Alaska or Russia for the 2007-2011 period.

Other mortality

Other sources of human-caused mortality and serious injury include reported collisions with vessels and entanglement in marine debris. The mean minimum annual human-caused mortality and serious injury rate for

2007-2011 based on vessel collisions (0.36) and entanglement in unknown marine debris/ gear (0.8) reported in the NMFS Alaska Regional Office stranding database is 1.16 (Table 42).

HISTORICAL WHALING

Rice (1978) estimated that the number of humpback whales in the North Pacific may have been approximately 15,000 individuals prior to exploitation; however, this was based upon incomplete data and, given the level of known catches (legal and illegal) since World War II, may be an underestimate. Intensive commercial whaling removed more than 28,000 animals from the North Pacific during the 20th century (Rice 1978). A total of 3,277 reported catches occurred in Asia between 1910 and 1964, with 817 catches from Ogasawara between 1924 and 1944 (Nishiwaki 1966, Rice 1978). After World War II, substantial catches occurred in Asia near Okinawa (including 970 between 1958 and 1961), as well as around the main islands of Japan and the Ogasawara Islands. On the feeding grounds, substantial catches occurred around the Commander Islands and western Aleutian Islands, as well as in the Gulf of Anadyr (Springer et al. 2006).

Humpback whales in the North Pacific were theoretically fully protected in 1965, but illegal catches by the USSR continued until 1972 (Ivashchenko et al. 2007). From 1961 to 1971, 6,793 humpback whales were killed illegally by the USSR. Many animals during this period were taken from the Gulf of Alaska and Bering Sea (Doroshenko 2000); however, additional illegal catches were made across the North Pacific, from the Kuril Islands to the Queen Charlotte Islands, and other takes in earlier years may have gone unrecorded.

STATUS OF STOCK

NMFS recently concluded a global humpback whale status review, the report of which is being finalized. NMFS will include the relevant results of this review in the SARs when they are available. The estimated human-related annual mortality rate ($0.85 + 1.16 = 2.0$) is equal to the calculated conservative PBR level for this stock (2.0). The estimated human-related mortality rate based solely on mortalities that occurred incidental to U. S. commercial fisheries is 0.40; therefore, the estimated fishery mortality and serious injury rate exceeds 10% of the PBR (0.2) and cannot be considered insignificant and approaching zero. In addition, there is a lack of information about fisheries bycatch from Russia, Japan, Korea, and international waters, as well as earlier evidence of bycatch in Japan and Korea (1.1 to 2.4 whales per year based on bycatch, stranding and market data; Brownell et al. 2000). The humpback whale is listed as “endangered” under the Endangered Species Act, and therefore designated as “depleted” under the MMPA. As a result, the Western North Pacific stock of humpback whale is classified as a strategic stock. The status of this stock relative to its Optimum Sustainable Population size is currently unknown.

HABITAT CONCERNS

Elevated levels of sound from anthropogenic sources (e.g., shipping, military sonars) are a potential concern for humpback whales in the North Pacific, but no specific habitat concerns have been identified for this stock. Other potential impacts include possible changes in prey distribution with climate change, increased fishing, and increased shipping in higher latitudes and through the Bering Sea with changes in sea ice coverage, as well as oil and gas activities in the Chukchi and Beaufort seas.

CITATIONS

- Allen, B. M., V. T. Helker, and L. A. Jemison. 2014. Human-caused injury and mortality of NMFS-managed Alaska marine mammal stocks, 2007-2011. U.S. Dep. Commer., NOAA Tech. Memo. NMFS-AFSC-274, 84 p.
- Angliss, R. P. and D. P. DeMaster. 1998. Differentiating Serious and Non-Serious Injury of Marine Mammals Taken Incidental to Commercial Fishing Operations. NOAA Tech Memo. NMFS-OPR-13, 48 p.
- Andersen, M. S., K. A. Forney, T. V. N. Cole, T. Eagle, R. Angliss, K. Long, L. Barre, L. Van Atta, D. Borggaard, T. Rowles, B. Norberg, J. Whaley, and L. Engleby. 2008. Differentiating Serious and Non-Serious Injury of Marine Mammals: Report of the Serious Injury Technical Workshop, 10-13 September 2007, Seattle, Washington. U.S. Dep. Commer., NOAA Tech. Memo. NMFS-OPR-39. 94 p.
- Baker, C. S., S. R. Palumbi, R. H. Lambertsen, M. T. Weinrich, J. Calambokidis, and S. J. O'Brien. 1990. Influence of seasonal migration on geographic distribution of mitochondrial DNA haplotypes in humpback whales. *Nature* 344:238-240.
- Baker, C. S., L. Medrano-Gonzalez, J. Calambokidis, A. Perry, F. Pichler, H. Rosenbaum, J. M. Straley, J. Urban-Ramirez, M. Yamaguchi, and O. von Ziegeler. 1998. Population structure of nuclear and mitochondrial DNA variation among humpback whales in the North Pacific. *Mol. Ecol.* 7(695-707).

- Baker, C. S., D. Steel, J. Calambokidis, J. Barlow, A. M. Burdin, P. J. Clapham, E. A. Falcone, J. K. B. Ford, C. M. Gabriele, U. Gozález-Peral, R. LeDuc, D. Mattila, T. J. Quinn, L. Rojas-Bracho, J. M. Straley, B. L. Taylor, J. Urbán-R., M. Vant, P. Wade, D. Weller, B. H. Witteveen, K. Wynne and M. Yamaguchi. 2008. *geneSPLASH*: An initial, ocean-wide survey of mitochondrial (mt) DNA diversity and population structure among humpback whales in the North Pacific. Final report for Contract 2006-0093-008 to the National Fish and Wildlife Foundation.
- Barlow J., Calambokidis J., Falcone E. A., Baker C. S., Burdin A. M., Clapham P. J., Ford J. K. B., Gabriele C. M., LeDuc R., Mattila D. K., Quinn T. J., Rojas-Bracho L., Straley J. M., Taylor B. L., Urbán R. J., Wade P., Weller D., Witteveen B. H., Yamaguchi M. 2011. Humpback whale abundance in the North Pacific estimated by photographic capture-recapture with bias correction from simulation studies. *Mar. Mamm. Sci.* 27:793-818.
- Barlow, J., and P. J. Clapham. 1997. A new birth-interval approach to estimating demographic parameters of humpback whales. *Ecol.* 78(2):535-546.
- Breiwick, J. M. 2013. North Pacific marine mammal bycatch estimation methodology and results, 2007-2011. U.S. Dep. Commer., NOAA Tech. Memo. NMFS-AFSC-260, 40 p.
- Brownell, R. L., T. Kasuya, W. P. Perrin, C. S. Baker, F. Cipriano, J. Urban R., D. P. DeMaster, M. R. Brown, and P. J. Clapham. 2000. Unknown status of the western North Pacific humpback whale population: a new conservation concern. Unpublished report to the International Whaling Commission. 5 pp.
- Calambokidis, J. E.A. Falcone, T.J. Quinn, A.M. Burdin, P.J. Clapham, J.K.B. Ford, C.M. Gabriele, R. LeDuc, D. Mattila, L. Rojas-Bracho, J.M. Straley, B.L. Taylor, J. Urbán R., D. Weller, B.H. Witteveen, M. Yamaguchi, A. Bendlin, D. Camacho, K. Flynn, A. Havron, J. Huggins, and N. Maloney. 2008. SPLASH: Structure of Populations, Levels of Abundance and Status of Humpback Whales in the North Pacific. Final report for Contract AB133F-03-RP-00078 U.S. Dept of Commerce Western Administrative Center, Seattle, Washington. (available at <http://www.cascadiaresearch.org/SPLASH/SPLASH-contract-Report-May08.pdf>)
- Calambokidis, J., G. H. Steiger, J. C. Cubbage, K. C. Balcomb III, and P. Bloedel. 1989. Biology of humpback whales in the Gulf of the Farallones. Report to Gulf of the Farallones National Marine Sanctuary, San Francisco, CA by Cascadia Research Collective, 218½ West Fourth Avenue, Olympia, WA. 93 pp.
- Calambokidis, J., G. H. Steiger, J. M. Straley, L. M. Herman, S. Cerchio, D. R. Salden, J. Urban R., J. K. Jacobsen, O. von Ziegesar, K.C. Balcomb, C. M. Gabriele, M. E. Dahlheim, S. Uchida, G. Ellis, Y. Miyamura, P. Ladrón de Guevara P., M. Yamaguchi, F. Sato, S. A. Mizroch, L. Schlender, K. Rasmussen, J. A. Y. Barlow and T. J. Quinn, II. 2001. Movements and population structure of humpback whales in the North Pacific. *Mar. Mammal Sci.* 17(4): 769-794.
- Calambokidis, J., G. H. Steiger, and J. R. Evenson. 1993. Photographic identification and abundance estimates of humpback and blue whales off California in 1991-92. Final Contract Report 50ABNF100137 to Southwest Fisheries Science Center, P.O. Box 271, La Jolla, CA 92038. 67 pp.
- Calambokidis, J., G. H. Steiger, J. M. Straley, T. Quinn, L. M. Herman, S. Cerchio, D. R. Salden, M. Yamaguchi, F. Sato, J. R. Urban, J. Jacobson, O. Von Ziegesar, K. C. Balcomb, C. M. Gabriele, M. E. Dahlheim, N. Higashi, S. Uchida, J. K. B. Ford, Y. Miyamura, P. Ladrón de Guevara, S. A. Mizroch, L. Schlender, and K. Rasmussen. 1997. Abundance and population structure of humpback whales in the North Pacific basin. Final Contract Report 50ABNF500113 to Southwest Fisheries Science Center, P.O. Box 271, La Jolla, CA 92038. 72 pp.
- Clapham, P. J., J. Barlow, M. Bessinger, T. Cole, D. Mattila, R. Pace, D. Palka, J. Robbins, and R. Seton. 2003. Abundance and demographic parameters of humpback whales from the Gulf of Maine, and stock definition relative to the Scotian Shelf. *J. Cet. Res. Manage.* 5:13-22.
- Clapham, P. J., and J. G. Mead. 1999. *Megaptera novaeangliae*. *Mamm. Species.* 604:1-9.
- Darling, J. D. 1991. Humpback whales in Japanese waters. Ogasawara and Okinawa. Fluke identification catalog 1987-1990. Final Contract Report, World Wide Fund for Nature, Japan. 22 pp.
- Darling, J. D., and S. Cerchio. 1993. Movement of a humpback whale (*Megaptera novaeangliae*) between Japan and Hawaii. *Mar. Mammal Sci.* 1:84-89.
- Darling, J. D., J. Calambokidis, J., K. C. Balcomb, P. Bloedel, K. Flynn, A. Mochizuki, K. Mori, F. Sato, and M. Yamaguchi. 1996. Movement of a humpback whale (*Megaptera novaeangliae*) from Japan to British Columbia and return. *Mar. Mammal Sci.* 12(2):281-287.

- Doroshenko, N. V. 2000. Soviet catches of humpback whales (*Megaptera novaeangliae*) in the North Pacific. In A. V. Yablokov and V. A. Zemsky (eds.), Soviet whaling data (1949-1979), Center for Russian Environmental Policy, Marine Mammal Council, Moscow, 96-103.
- Fleming, A., and J. Jackson. 2011. Global review of humpback whales (*Megaptera novaeangliae*). U.S. Dep. Commer., NOAA Tech. Memo. NMFS, NOAA-TM-NMFS-SWFSC-474, 206 p.
- Gabriele, C. M., J. M. Straley, L. M. Herman, and R. J. Coleman. 1996. Fastest documented migration of a North Pacific humpback whale. *Mar. Mammal Sci.* 12:457-464.
- Hashagen, K. A., Green, G. A., and Adams, B. 2009. Observations of humpback whales, *Megaptera novaeangliae*, in the Beaufort Sea, Alaska. *Northwestern Nat.* 90:160-162.
- Ivashchenko, Y. V., P. J. Clapham, and R. L. Brownell Jr. (eds.). 2007. Scientific reports of Soviet whaling expeditions, 1955-1978. U.S. Dep. Commer., NOAA Tech. Memo. NMFS-AFSC-175. 36 pp. [Translation: Y. V. Ivashchenko] + Appendix.
- Johnson, J. H., and A. A. Wolman. 1984. The humpback whale, *Megaptera novaeangliae*. *Mar. Fish. Rev.* 46(4):30-37.
- Melnikov, V.V. 2000. Humpback whales *Megaptera novaeangliae* off Chukchi Peninsula. *Russ. J. Oceanog.* 4:844-849.
- Miyashita, T. 2006. Cruise Report of the Sighting Survey in the Waters East of the Kuril Islands and the Kamchatka Peninsula in 2005. Paper SC/58/NPM5 presented at the 58th annual meeting of the Scientific Committee of the International Whaling Commission, St. Kitts.
- Mizroch, S. A., L. M. Herman, J. M. Straley, D. Glockner-Ferrari, C. Jurasz, J. Darling, S. Cerchio, C. Gabriele, D. Salden, O. von Ziegesar. 2004. Estimating the adult survival rate of central North Pacific humpback whales. *J. Mammal.* 85(5):963-972.
- Mizroch, S. A. and D. W. Rice. 2007. Distribution and movements of humpback whales (*Megaptera novaeangliae*) in the North Pacific Ocean. Presented at the 17th Biennial Conference on the Biology of Marine Mammals, Cape Town, South Africa.
- Mobley, J. M., S. Spitz, R. Grotefendt, P. Forestell, A. Frankel, and G. Bauer. 2001. Abundance of humpback whales in Hawaiian waters: Results of 1993-2000 aerial surveys. Report to the Hawaiian Islands Humpback Whale National Marine Sanctuary. 16 pp.
- Moore, S. E., J. M. Waite, L. L. Mazzuca, and R. C. Hobbs. 2000. Mysticete whale abundance on the central Bering Sea shelf. *J. Cetacean Res. Manage.* 2(3):227-234.
- Moore, S. E., J. M. Waite, N. A. Friday and T. Honkalehto. 2002. Distribution and comparative estimates of cetacean abundance on the central and south-eastern Bering Sea shelf with observations on bathymetric and prey associations. *Progr. Oceanogr.* 55(1-2):249-262.
- Nemoto, T. 1957. Foods of baleen whales in the northern Pacific. *Sci. Rep. Whales Res. Inst. Tokyo* 12:33-89.
- Nemoto T. 1959. Food of baleen whales with reference to whale movements. *Scientific Reports of the Whales Research Institute*, 14:149-290.
- Nishiwaki, M. 1966. Distribution and migration of the larger cetaceans in the North Pacific as shown by Japanese whaling results. Pp. 172-191 In K. S. Norris (ed.), *Whales, Dolphins and Porpoises*, University of California Press, Berkeley, CA.
- NOAA. 2012. Federal Register 77:3233. National Policy for Distinguishing Serious From Non-Serious Injuries of Marine Mammals. <http://www.nmfs.noaa.gov/op/pds/documents/02/238/02-238-01.pdf>.
- Ohsumi, S. and Y. Masaki. 1975. Japanese whale marking in the North Pacific, 1963-1972. *Bull. Far Seas Fish. Res.* 12:171-219.
- Omura, H. and S. Ohsumi. 1964. A review of Japanese whale marking in the North Pacific to the end of 1962, with some information on marking in the Antarctic. *Norsk Hvalfangst-Tidende* 4:90-112.
- Perry, A., C. S. Baker, and L. M. Herman. 1990. Population characteristics of individually identified humpback whales in the central and eastern North Pacific: a summary and critique. *Rep. Int. Whal. Comm. (Special Issue 12)*:307-317.
- Rice, D. W. 1978. The humpback whale in the North Pacific: distribution, exploitation and numbers. Appendix 4. Pp. 29-44 In K. S. Norris and R. R. Reeves (eds.), Report on a workshop on problems related to humpback whales (*Megaptera novaeangliae*) in Hawaii. U.S. Dep. Commer., Nat. Tech. Info. Serv. PB-280 794. Springfield, VA.
- Rice, D. W. 1998. *Marine Mammals of the World: Systematics and Distribution*. Society Marine Mammalogy, Spec. Publ. No. 4.

- Springer, A., G.B. van Vliet, J.F. Piatt, and E. M. Danner. 2006. Pages 245-261 In: Whales, Whaling and Ocean Ecosystems, J.A. Estes, R.L. Brownell, Jr., D.P. DeMaster, D.F. Doak, and T.M. Williams (eds), University of California Press. 418 pp.
- Steiger, G. H., J. Calambokidis, R. Sears, K. C. Balcomb, and J. C. Cabbage. 1991. Movement of humpback whales between California and Costa Rica. *Mar. Mammal Sci.* 7:306-310.
- Tomlin, A. G. 1967. Mammals of the USSR and adjacent countries. vol. 9, Cetacea. Israel Program Sci. Transl. No. 1124, Natl. Tech. Info. Serv. TT 65-50086. Springfield, VA. 717 pp. (Translation of Russian text published in 1957).
- Wade, P. R., and R. Angliss. 1997. Guidelines for assessing marine mammal stocks: report of the GAMMS workshop April 3-5, 1996, Seattle, Washington. U.S. Dep. Commer., NOAA Tech. Memo. NMFS-OPR-12, 93 pp.
- Witteveen, B. H., J. M. Straley, O. Ziegesar, D. Steel, and C. S. Baker. 2004. Abundance and mtDNA differentiation of humpback whales (*Megaptera novaeangliae*) in the Shumagin Islands, Alaska. *Can. J. Zool.* 82:1352-1359.
- Zenkovich B. A. 1954. Vokrug sveta za kitami, Vol. Gosudarstvennoe Izdatel'stvo Geograficheskoi Literatury, Moscow.
- Zerbini, A. N., J. M. Waite, J. L. Laake and P. R. Wade. 2007. Abundance, trends and distribution of baleen whales off western Alaska and the central Aleutian Islands. *Deep-Sea Res. Part I*:1772-1790.