Western Regional Implementation Plan for Ecosystem-Based Fisheries Management

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NOAA Fisheries Ecosystem-Based Fisheries Management Road Map

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1.0 Introduction

NOAA Fisheries has long recognized the importance of ecosystem-based fisheries management (EBFM). The *Ecosystem Based Fishery Management Policy* and *Road Map* describe how NOAA Fisheries implements EBFM based on six guiding principles. NOAA Fisheries defines EBFM in the Policy as “a systematic approach to fisheries management in a geographically specified area that contributes to the resilience and sustainability of the ecosystem; recognizes the physical, biological, economic, and social interactions among the affected fishery-related components of the ecosystem, including humans; and seeks to optimize benefits among a diverse set of societal goals.” To implement EBFM, the Policy identifies and outlines six guiding principles:

1. Implement ecosystem-level planning
2. Advance our understanding of ecosystem processes
3. Prioritize vulnerabilities and risks of ecosystems
4. Explore and address trade-offs within an ecosystem
5. Incorporate ecosystem considerations into management advice
6. Maintain resilient ecosystems

The EBFM Roadmap calls for the development of implementation plans to guide NOAA Fisheries’ efforts in implementing EBFM over the next 5 years. The purpose of this Western Regional Implementation Plan (WRIP) is to identify and coordinate priority EBFM milestones among the West Coast Regional Office, the Northwest Fisheries Science Center, Southwest Fisheries Science Center (collectively, NOAA Fisheries West Coast) and our many partners in the California Current Ecosystem (CCE).

EBFM requires thinking about resources, policies, and management in a different way than we have in the past, and combining that new way of thinking with a better understanding of ecosystem conditions and processes. For example, our investigations of the 2014–16 marine heat wave showed that higher ocean temperatures resulted in both harmful algal blooms along the West Coast that caused widespread contamination in Dungeness crab, and the dispersion of humpback whales’ favored prey from offshore to nearshore waters. While these marine heat wave effects may seem separate from each other, the harmful algal blooms delayed the start of the Dungeness crab fishery and the reduced offshore prey availability for humpback whales drew the whales inshore, bringing Dungeness crab fishing gear and humpback whales into the same spaces at the same time. Ultimately, the effects of the marine heat wave included an unusual spike in whale entanglements with crab gear. Piecing these clues together to better understand how we can reduce human interactions with whales took ecosystem-level thinking.

Our California Current Ecosystem is an eastern boundary current upwelling system that extends roughly from the southern end of Canada’s Vancouver Island southward to the tip of Mexico’s Baja Peninsula. The U.S. West Coast Exclusive Economic Zone (EEZ), from 3 to 200 nautical miles offshore, lies within the CCE. The Pacific Fishery Management Council (PFMC) guides federal fisheries management off the U.S. West Coast under the authority of the Magnuson–Stevens Fishery Conservation and Management Act (MSA). PFMC voting members include representatives from the states of Washington, Oregon, California, and Idaho, and a representative from an Indian tribe with federally recognized fishing rights. Numerous tribes with fishing rights for salmon, halibut, groundfish, and other species participate in the PFMC process. NOAA Fisheries considers PFMC and these western states and tribes key partners in regional EBFM implementation, and PFMC has already taken substantial steps toward EBFM. Beyond their participation in the PFMC process, western states and
tribes manage marine and estuarine fisheries that overlap with or include the same species as federal-waters fisheries. Close and ongoing coordination between these entities is essential to successful regional fisheries management efforts.

Other key partners in implementing regional EBFM could include: partners within NOAA, such as the five West Coast National Marine Sanctuaries and the three Integrated Ocean Observing System (IOOS) Regional Associations (Central and Northern California, Northwest, and Southern California); our federal partner agencies, like the United States Fish and Wildlife Service, the Army Corps of Engineers, and the Bureau of Ocean Energy Management; the Pacific States Marine Fisheries Commission, which coordinates tri-state West Coast fisheries management and collects essential fisheries data; state and tribal fisheries and natural resource management agencies; the many international fisheries management and science organizations with overlapping jurisdictions over CCE species; fisheries industry participants, and environmental and other non-government organizations; coastal fishing communities and coastal zone management organizations; and academic institutions with expertise in marine science and management.

NOAA Fisheries, PFMC, western states and tribes, and the public have together laid a strong foundation for EBFM with our work to end overfishing, rebuild overfished stocks, conserve forage fish, minimize bycatch, identify and protect essential fish habitat (EFH), develop supporting ecosystem science, and develop a Fishery Ecosystem Plan (FEP). Over the next five years, NOAA Fisheries plans to continue to support that work by researching key ecosystem questions such as the ongoing and anticipated effects of climate change on the CCE. We will prioritize work that helps us better understand our ecosystem’s natural climatic variability and its short- and long-term effects on trophic interactions and fisheries harvest. We will explore opportunities to integrate EBFM into regulatory decision-making processes. We will also continue to support our partners’ efforts to develop EBFM conservation and management measures that take into account the region’s unique biophysical system, social and economic challenges, and cultural principles.

Finally, we view this WRIP as the first five-year iteration of a longer-term plan to coordinate regional research and ideas that build a strong foundation for future ecosystem science and EBFM. As such, this WRIP focuses on milestones that can be met in the short- to medium-term time horizon, with emphasis on strategic milestones that prepare NOAA Fisheries West Coast and our partners to take on longer-range and/or more prescriptive milestones in the second WRIP iteration. By focusing on foundational milestones in this first iteration, we embrace the importance of clearly identifying: (a) the diverse EBFM-related objectives and priorities of the region; (b) the strengths, gaps and emerging opportunities within the research community; and (c) the ways in which the value of EBFM can be communicated to and implemented in the fisheries management and governance system. We also acknowledge that NOAA Fisheries scientists have current research obligations, including many EBFM-related projects, which must be completed before new, targeted research is taken up in support of WRIP-related milestones. We thus anticipate that the second five-year iteration will have greater prescriptive focus on specific issues that have been raised during the drafting and public comment period of this WRIP. We welcome ideas from our partners, stakeholders, and the public on working towards West Coast EBFM in this next five years and beyond.
2.0 EBFM Policy and Road Map Guiding Principles and Action Items

The National EBFM Road Map is organized around six Guiding Principles, and suggests action items for each Guiding Principle with potential short-, medium-, or long-term accomplishments. This WRIP describes U.S. West Coast EBFM implementation plans for 2018–22. We do not address medium- or long-term unfunded Road Map action items. We also do not address Road Map action items that NOAA Fisheries’ headquarters plans to pursue for national, rather than regional, application. Sections 2.1 through 2.6 discuss how NOAA Fisheries plans to address action items under each of the Road Map’s six Guiding Principles, providing examples of work in progress and work anticipated for the 2018–22 period. Section 3 provides the NOAA Fisheries West Coast engagement strategy for this WRIP. References cited in the WRIP are listed in Section 4, and Section 5 provides a list of abbreviations and acronyms used in this WRIP.

2.1 Guiding Principle 1 – Implement ecosystem-level planning

From EBFM Policy: NOAA Fisheries supports the use of FEPs or similar documents to describe and integrate ecosystem goals, objectives, and priorities for fisheries and ecosystem research, conservation, and management across multiple fisheries within an ecosystem. This includes:

- Facilitate continued participation of external federal, state (including territories), council, commission, tribal, industry, and other non-governmental partners in the EBFM process;
- Support and provide guidance or assistance to execute FEPs that are used as umbrella strategic planning documents to guide coordination and trade-off evaluation among Fishery Management Plans (FMPs,) related documents, and other ecosystem components.

Guiding Principle 1 supports regional FEP development. PFMC adopted its FEP in April 2013, in part to develop a better and shared understanding of its priorities for the larger ecosystem. From the FEP’s Purpose and Need statement:

The purpose of the FEP is to enhance the PFMC’s species-specific management programs with more ecosystem science, broader ecosystem considerations, and management policies that coordinate Council management across its Fishery Management Plans and the CCE. An FEP should provide a framework for considering policy choices and trade-offs as they affect FMP species and the broader CCE (PFMC 2013).

The FEP coordinates PFMC’s ecosystem-based conservation and management initiatives across its FMPs. NOAA Fisheries provides ecosystem science support to the PFMC in part through work conducted under the California Current Integrated Ecosystem Assessment (CCIEA) program. The CCIEA program combines science and interpretation to highlight and explain changes, trends, and shifts in the ecosystem and what they mean for the human communities that depend on the CCE. The NOAA Fisheries Northwest and Southwest Fisheries Science Centers (NWFSC and SWFSC) collaborate on the CCIEA program, including the development and presentation of an annual California Current Ecosystem Status Report (ESR) that is outlined under the FEP. The ESR has become an important opportunity to take stock of ongoing ecosystem changes and their implications (e.g., Harvey and Garfield et al. 2018).
NOAA Fisheries’ West Coast Region supports the PFMC’s FEP process through participation on the PFMC Ecosystem Workgroup. The Ecosystem Workgroup’s primary role in the PFMC process is to develop and implement FEP initiatives, which are multi-species or multi-fisheries science and policy processes to help coordinate Council policies across its FMPs and to improve our understanding and management of the CCE. The PFMC’s first FEP initiative provided protections for unfished forage fish, which NWFSC and SWFSC supported with background science on the food habits of CCE predators. Both the NWFSC and SWFSC were more directly involved in the second ecosystem initiative, which provided a coordinated, multi-fishery and PFMC-wide review of the ESR’s content and indicators. As of this writing, the PFMC is considering updates to its FEP and pursuing its third ecosystem initiative, which will look at building resilience to climate variability and change into West Coast fish stocks and fisheries management. Ecosystem initiatives make the PFMC’s FEP a living document and ensure that the PFMC and its stakeholders continue to think creatively about EBFM.

Guiding Principle 1 also prioritizes a review of any overlapping jurisdictions in each region, to plan for coordination on EBFM. PFMC and NOAA Fisheries have jurisdiction over the U.S. EEZ off Washington, Oregon, and California. Overlapping jurisdictions, including those with western states and tribes, for particular fish and fisheries are accounted for and described in PFMC’s FMPs. NOAA Fisheries West Coast coordinates formally and informally, as appropriate, with colleagues at the Alaska Fisheries Science Center and the Alaska Regional Office, and with staff at the Pacific Islands Science Center and the Pacific Islands Regional Office. International science and management entities that address West Coast fish or fisheries are described in PFMC’s FEP at Section 3.5.4 (PFMC 2013).

Table 1: Action Items to Implement Ecosystem-Level Planning

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<td>Development of regional EBFM engagement strategy.</td>
<td>Short-term</td>
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<td>Develop best practices where there are overlapping jurisdictions.</td>
<td>Medium-term</td>
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<td>NOAA Fisheries supports any Ecosystem Plan Development Teams, Ecosystem Committees, or equivalent groups that Councils establish.</td>
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<td>Assist Councils, Commissions, RFMOs, and other bodies, as requested, in their development or revision of FEPs.</td>
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2.2 Guiding Principle 2 – Advance our understanding of ecosystem processes

From EBFM Policy: NOAA Fisheries shall work to better understand the broader suite of ecosystem processes, drivers, threats, status, and trends of the nation’s marine ecosystem to inform all levels of management advice, including:

- Conduct science to understand ecosystems.
- Provide Ecosystem Status Reports for each Large Marine Ecosystem

Many people who are familiar with the CCE have observed and have been curious about ecosystem processes and changes, seeking more insight into the factors driving them and where these processes are leading. In recent years, our unusually warm water temperatures and a strong El Niño have, in some cases, driven dramatic shifts in conditions and species that affect industries and communities. While science has shed light on those shifts, such as the particular sensitivity of California sea lions to changing temperatures, many questions remain, especially about whether the shifts are temporary or indications of long-term changes in the ecosystem.

Guiding Principle 2 recommends advancing resources to conduct scientific investigations to answer such questions and inform EBFM. However, this call for advancing resources occurs during a trend toward level or decreasing budgets and declines in federal staffing. Both Science Centers are working on research prioritizations to plan for this trend, taking into account recommendations at recent science program reviews. To implement this Guiding Principle, we plan to begin with inventories of EBFM mandates, priorities, drivers, risks, tools, and current projects and partnerships (Action 2a1), so that we know where gaps lie (Action 2a2). NOAA Fisheries West Coast is assessing how these efforts fit with other agency initiatives, such as the Western Regional Action Plan (WRAP, NMFS 2016a) to implement the National Climate Science Strategy, the Stock Assessment Improvement Plan (SAIP), the Habitat Assessment Improvement Plan (HAIP), the Ecological Forecasting Roadmap (NOS 2015), the PFMC’s recently updated Research and Data Needs document (PFMC 2018a), and others.

Guiding Principle 2 asks that we develop capacity to conduct end-to-end ecosystem studies. End-to-end studies comprise both empirical and experimental research, which are essential for generating data, and ecosystem models, which incorporate data and theory into simulations that can help us understand ecosystem dynamics from the past or anticipate dynamics in the future. Models to support EBFM range from relatively simple (e.g., a single species with some environmental drivers) to full end-to-end models that simulate components and processes from physical drivers to food web dynamics to human systems. We will conduct a gap analysis of where our data collection and models are addressing, or failing to address, the various needs that make up a fully integrated end-to-end science effort in support of EBFM mandates, goals, and objectives. The gap analysis should include whether studies or capabilities exist, and if they are at appropriate spatiotemporal scales, sampling intensities, and complexity to address EBFM needs (Action 2a2).

At Action 2a4, Guiding Principle 2 asks us to develop and maintain core data and information streams. NOAA Fisheries participates in a variety of CCE observing efforts, including the California Cooperative Oceanic Fisheries Investigations (CalCOFI, established in 1949) and NWFSC’s Newport hydrographic line (since 1996). Shorter-term observing systems that help capture environmental variability include the Trinidad Head line (similar to the Newport line). Each effort collects an extensive suite of physical, chemical, and biological measurements. NOAA Fisheries conducts multiple fishery-independent survey cruises to collect information about the distribution and abundance of groundfishes, coastal pelagic species, and salmonids. These surveys also collect oceanographic data that provide spatial scaling and context for our models, and biological data that support stock assessments. NOAA Fisheries supports other sampling of protected species, particularly near seabird and marine mammal breeding grounds, including the CCE work of the National Marine Mammal Laboratory. Surveys also provide information on species and organisms that may not be of prime commercial importance, but which have important ecosystem roles. Tagging programs track survival rates, movements, and habitat use of focal fish and protected species. Advanced sampling technologies survey
shelf and slope seafloor communities. Our Fisheries Observer Program provides critical fishery-dependent data needed for stock assessments and for quantifying bycatch rates. NOAA Fisheries social scientists collect data on economic and social conditions in fishing-dependent coastal communities. These data streams are made publicly available via services such as the Coastwatch Environmental Research Division Data Access Program data server and NWFSC’s Fisheries Resource Analysis and Monitoring data warehouse, and continued support and development of these portals is essential for understanding ecosystem processes and status. The suite of NWFSC and SWFSC observation efforts for tracking trends in the CCE and its living marine resources and human communities are listed in detail in Table 1 of the WRAP (NMFS 2016a, pp. 28–31).

NOAA Fisheries depends on other NOAA line offices, other state, federal, and tribal agencies, and academia for critical data and other scientific information. Environmental indices used to describe conditions from basin to regional scales and interannual to interdecadal time frames are derived largely from NOAA data from the Office of Oceanic and Atmospheric Research and the National Weather Service, and from partner academic institutions. These indices summarize critical conditions like wind speed and direction, atmospheric pressure, sea surface temperature, and ocean currents. The Office of Oceanic and Atmospheric Research also monitors CCE ocean chemistry through a variety of sampling platforms. The CoastWatch program provides satellite data, and the National Ocean Service’s IOOS has three West Coast regional associations that provide collated access to coastal and nearshore observations between the U.S. borders with Canada and Mexico. Finally, NOAA Fisheries partners with federal, state, local, and tribal agencies in monitoring West Coast freshwater environments and habitats, which are critical to our anadromous species.

NOAA Fisheries West Coast is already meeting the Guiding Principle 2 milestone for ESRs (Action 2b2). Scientists from the CCIEA team have produced an annual California Current ESR for PFMC since 2014. Ecosystem status reporting on the West Coast significantly predates the CCIEA reports: the CalCOFI partnership has been publishing annual “State of the California Current” papers in its journal CalCOFI Reports since 1994. CalCOFI Reports represent one of the earliest significant steps within NOAA Fisheries toward informing EBFM. Work to improve our ESR development process is ongoing and includes: tailoring the ESR to PFMC needs through Initiative 2 of the FEP; maintaining a dedicated CCIEA website with indicator plots, trend analyses, brief descriptions, and data downloads; and developing a five-year plan for improving the California Current ESR (Slater et al. 2017).

Table 2: Action Items to Advance our Understanding of Ecosystem Processes

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| 2a1        | Advance resources to conduct EBFM. | Continuing | • Inventory EBFM mandates, priorities, drivers, risks, tools, current projects, and partnerships.  
• Align inventories with research prioritizations at both Science Centers, in consultation with Regional Office, PFMC, and other key partners.  
• Ensure complementarity with broad agency planning processes (SAIP, HAIP, National Climate Science Strategy, Ecological Forecasting Roadmap) and with high-priority recommendations from FY16 ecosystem science program reviews at SWFSC, NWFSC.  
• Ensure complimentarity with PFMC Research and Data Needs document.  
• Invest in training for proposal writing that supports applications to diverse funding providers. |
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| 2a2        | Develop capacity for NOAA Fisheries to conduct end-to-end ecosystem studies. | Medium-term | • Conduct gap analysis of West Coast science related to end-to-end science efforts:  
1. Identify data sources, monitoring and experimental studies, social science survey projects, and other data-collection capabilities.  
2. Identify statistical and process-based modeling studies and/or capabilities.  
3. Identify matches/mismatches of scale between data collection/modeling efforts and the management needs they are intended to support.  
4. Prioritize data collection gaps, analysis/modeling capacity gaps, and scale mismatches identified in steps 1–3.  
• Increase social science capacity to collect data on human wellbeing indicators previously developed by the Social Wellbeing Indicators for Marine Management team (Breslow et al. 2014, Breslow et al. 2017).  
• Identify and pursue high-impact, cost-effective ways to close gaps and increase capacity.  
• Invest in training for proposal writing, particularly for areas of low capacity: monitoring of intermediate trophic levels, models of intermediate complexity, social and economic sciences, etc. |
| 2a4        | Develop and maintain core data and information streams. | Continuing | • Develop online tools to support a CCE data clearinghouse with multiple potential user interfaces, in keeping with NOAA’s strategy to implement the national Public Access to Research Results policy (NOAA 2013).  
• Maintain long-term monitoring programs and surveys; coordinate and prioritize data and information streams. |
| 2b2        | Establish routine, regular, and dynamic reporting of ESRs for each LME. | Medium-term | • Continue providing ESR for PFMC.  
• Enact ESR improvements per the National ESR working group process.  
• Learn from/engage with other regional ESR developers. |

Figure 1: Juvenile Chinook in the Elwha River, NOAA
2.3 Guiding Principle 3 – Prioritize vulnerabilities and risks to ecosystem and their components

**From EBFM Policy:** NOAA Fisheries should evaluate and address the individual and cumulative drivers for the physical, chemical, biological, social, and economic components of marine ecosystems. This should take into account the comprehensive and systematic risk, vulnerability, and susceptibility of living marine resources and ecosystems, including:

- Identify the ecosystem-level, cumulative risk (across living marine resources, habitats, ecosystem functions, and associated fisheries communities) in each region and the relative vulnerability to human and natural pressures.
- Identify the individual and cumulative pressures that pose the most risk to those vulnerable resources and dependent communities.

Guiding Principle 3 calls for a variety of risk assessments: system-wide, habitat-based, and based in fishing community vulnerability. Risk assessments identify and ideally quantify the probability and magnitude of deleterious events (Harwood 2000, Burgman 2005, Holsman et al. 2017). Risk assessments can serve as a first step to identify the stocks or habitats that most need management strategy evaluations (MSEs, Levin et al. 2008). The CCIEA program began exploring protocols for conducting regional habitat risk assessments in 2012 (Samhouri et al. 2012), and remains active in the development of risk assessment methodology (Holsman et al. 2017). Ideally, an ecosystem risk assessment would begin at the ecosystem scale, identifying overarching pressures and species likely to be at risk for subsequent, more-focused efforts. While the West Coast has not yet established an ecosystem-scale risk assessment, multiple risk analysis efforts are underway to identify vulnerabilities of managed species.

The NWFSC and SWFSC are jointly conducting climate vulnerability assessments for federally managed CCE fish stocks and protected species (Action 3a1). The fish-focused climate vulnerability assessments will ultimately be linked to climate vulnerability assessments for fishing communities (Action 3b3). End-to-end ecosystem models, discussed under Guiding Principle 2, have already been used to inform the risks to the CCE from fishing, as part of the Groundfish Harvest Specifications Environmental Impact Statement (PFMC and NMFS 2014). These models have also been used to explore how fishing and ocean acidification together may affect marine species, and how those effects may propagate through the food web to identify potentially vulnerable fisheries and coastal ports (Marshall et al. 2017, Hodgson et al. 2018). Together, the end-to-end ecosystem models of Guiding Principle 2 and the risk assessments of Guiding Principle 3 link to our WRAP on climate science and implement the EBFM Road Map.

Guiding Principle 3 calls for protocols on regional habitat risk assessments (Action 3a2). The CCIEA habitat team has helped complete a PFMC-requested pilot risk assessment on habitat-based impacts on four groundfish species (Yergey et al. 2016), which is expected to support completion of a new groundfish EFH FMP amendment in 2019. NOAA Fisheries has also assessed the vulnerability of different habitats to fishing and non-fishing impacts (PFMC 2004, PFMC 2012, NMFS 2013) on the premise that certain habitat types (e.g., biogenic habitat) would be differentially susceptible to impacts such as bottom trawling. More recently, the same concept has been applied to examine vulnerability of four groundfish species to various anthropogenic impacts (Yergey et al. 2016).

In keeping with Guiding Principle 3, we completed a Habitat Assessment Prioritization for the West Coast in 2014 (Blackhart, 2014,) identifying FMP fish species likely to benefit from new habitat science that would help inform their stock assessments (Action 3b2). Under the NOAA Fisheries Habitat Enterprise Strategic Plan (NMFS 2016b) and under the EBFM Road Map, NOAA Fisheries intends to conduct risk assessments to identify key habitat areas at high risk for hazards such as oil spills, and to help prioritize conservation of habitat where it can improve the ecosystem’s resilience and the resilience of communities and economies within the ecosystem.
Guiding Principle 3 also suggests that NOAA Fisheries conduct fishing community vulnerability assessments (Action 3b3). These vulnerability assessments have been employed for other U.S. regions (Jacob et al. 2012, Jepson and Colburn 2013, Himes-Cornell and Kasperski 2015) and at the U.S. national level. The Human Dimensions group at NWFSC has completed initial community vulnerability assessments for coastal port communities, quantifying social vulnerability of communities and commercial fishery dependence. Work is ongoing to ground-truth the initial assessment scores, and to develop an indicator for recreational fisheries dependence. An important next step is to link community vulnerability with exposure to risk, including to pressures such as HABs and ocean acidification. West Coast work will link community vulnerability assessments with climate vulnerability assessments, and connect community vulnerability indices to single species and ecosystem studies.

*Figure 2: Caves at Cape Flattery, NOAA*
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| 3a1        | Conduct systematic risk assessments for relevant NOAA ecosystems. | Long-term, continuing | • Identify overarching pressures on West Coast species.  
• Complete climate vulnerability assessments for West Coast species. |
| 3a2        | Explore protocols for conducting regional habitat risk assessments for those areas known to serve important ecological functions for multiple species groups, or that will be especially vulnerable or important in the face of climate change. | Medium-term | • Conduct risk assessments to identify key habitats at high risk for hazards, such as oil spills, and to help prioritize conservation of habitat where it can improve ecosystem resilience, and the resilience of communities and economies within the ecosystem (protocols explored in Samhouri et al. 2012, methodology from Holsman et al. 2017). |
| 3b1        | Ensure that factors which impact 800+ U.S.-managed species are being considered. | Continuing | • Inventory existing risk assessments.  
• Identify opportunities to link existing risk assessments to investigate 1) cumulative impacts and/or 2) propagating risk.  
• Conduct risk assessments for non-fishing pressures on seabirds, marine mammals (Hazen et al. 2017), groundfish, and coastal pelagic species. |
| 3b2        | Conduct Habitat Assessment Prioritization for West Coast. | Medium-term | Task Completed (Blackhart 2014) |
| 3b3        | Conduct fishing community vulnerability assessments for West Coast. | Short-term | • Initial stage of community vulnerability assessments complete. Ground-truth with interviews, then link to species’ climate vulnerability assessments.  
• Assess effects of harmful algal blooms and ocean acidification upon wellbeing of coastal communities in exposed regions.  
• Conduct shift-share analysis of geographic distribution of fishery landings across West Coast fishing ports to assess long-run changes in the distribution of fishing activity across ports, and to test hypotheses regarding the drivers of shifting geographic patterns of landings (e.g., climate, regulatory changes, economies of scale). |
2.4 Guiding Principle 4 – Explore and address trade-offs within an ecosystem

From EBFM Policy: In close cooperation with its partners, NOAA Fisheries supports the consideration of and efforts to take into account various trade-offs when considering the cumulative effects of decision making processes on the ecosystem, including:

- Analyze trade-offs to optimize total benefits from all fisheries within each ecosystem or jurisdiction; by taking into account regional socio-economic considerations and ecosystem-specific policy goals and objectives (e.g., MSA, Marine Mammal Protection Act, Endangered Species Act, National Aquaculture Act, etc.) that may apply.
- Develop MSE capabilities to better conduct ecosystem-level analyses that provide ecosystem-wide management advice.

This Guiding Principle focuses on trade-off analysis through multiple and linked models. For instance, linking models across an ecosystem allows scientists to balance the effectiveness of different management options for certain fisheries, taking into account their advantages and impacts. Comparing or blending outputs from multiple models allows scientists to explore the impacts of their assumptions about model structure (Action 4a3). While comparing and combining output from multiple models is a common approach with weather forecasting and global climate models, it is in more nascent stages with applied population dynamics and ecosystem modeling. Our scientists should apply best practices and principles identified in recent efforts focused around multimodel inference, including work by the Ocean Modeling Forum and the report of the Third National Ecosystem Modeling Workshop (NEMoW, Townsend et al. 2014). Multimodel inference use should continue and be expanded on the West Coast, but is dependent on adequate modeling capacity (Actions 2a2 and 4a1).

We have been actively involved in developing review processes for diverse ecosystem science and tools (Action 4a4). Members of PFMC’s SSC and representatives from the Center for Independent Experts conducted a 2014 review of the California Current Atlantis end-to-end ecosystem model, to test and confirm the model’s use for informing strategic PFMC fisheries management questions. The SSC Ecosystem-Based Management Subcommittee and CCIEA team have established regular reviews of ecosystem science and methodologies contributing to the CCIEA, to ensure that we are providing the best scientific information available to the PMFC process.

Guiding Principle 4 recommends developing functional system-level MSEs (Action 4b1). MSEs allow scientists and managers to simulate fisheries decision-making and test the performance of harvest strategies, monitoring, and assessments against specified management objectives. System-level MSEs that include more than one fishery are an opportunity for investment, and may improve our decision-making, and ultimately our management of trust resources. Ongoing West Coast MSEs focus on a single fishery at a time: Pacific whiting/hake, North Pacific albacore, and sablefish. These MSEs focus on developing climate-informed management strategies that are robust to variability or directional changes in ocean conditions. Research recently funded by NOAA’s Climate Program Office via the Modeling, Analysis, Predictions, and Projections and Coastal and Ocean Climate Applications projects will also develop MSEs exploring Pacific sardine and albacore tuna management, and environmentally informed spatial bycatch risk of leatherback turtles in the swordfish fishery (Action 4b2). During the 2018-2022 period for this first WRIP, we plan to build and expand modeling tools (Actions 2a2 and 4a1) necessary to support a system-level MSE, but do not expect to begin a system-level MSE until at least the next iteration of the WRIP for 2023 and beyond.

For areas where MSA objectives overlap with Endangered Species Act or Marine Mammal Protection Act mandates, such as for protected species bycatch, additional ecosystem-based risk analyses may be needed. Specifically, bycatch of protected species can serve as a bottleneck that constrains catch of target species fisheries and economic opportunities. Oceanographically based predictive modeling approaches can be used to spatially segregate target species from bycatch species at multiple temporal scales (Hazen et al., 2018). Similar models have been used to identify areas of increased ship-strike risk for baleen whales, and could be used to estimate gear entanglement risk as well. These dynamic ocean
modelling tools can also be combined with ocean forecasts and downscaled climate projections to offer spatial management advice at multiple temporal scales. Additionally, dynamic ocean modelling tools can provide information that fisheries participants use voluntarily, or can be used to assess and inform potential spatial management areas that flex with changing ocean conditions.

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| 4a1        | Assess and bolster ecosystem and living marine resource modeling needs. | Continuing | • NWFSC recently completed (Sept 2017) an inventory and gap analysis of its ecosystem modeling capacity.  
• Continue developing models that support time-series and spatial analysis, ecosystem forecasting (short- and long-term), and nowcasting.  
• Continue engagement with PFMC to ascertain management and stakeholder needs and complementary modeling solutions.  
• Continue engagement with ecosystem modelers (via IEA, NEMoW, SAIP, Ocean Modeling Forum, ad hoc workshops, etc.) to stay up-to-date on ecosystem modeling innovation.  
• Continue to recruit post-docs and staff with modeling and MSE skills that are presently underrepresented. |
| 4a3        | Encourage and expand the use of multimodel inference. | Continuing | • Use gap analysis in 2a to identify how existing models/research efforts could be better linked or compared.  
• Develop linked bioeconomic models of major West Coast fisheries to better understand how fishery participation may respond to climate variation and change.  
• Explore sardine population dynamics in the context of environmental, food web, and fishing interactions using three models of varying complexity. |
| 4a4        | Establish suitable review venues and deliberative bodies for ecosystem models and associated information in each FSC region. | Medium-term | • Continue annual reviews of CCIEA science by the PFMC’s SSC.  
• Participate in the albacore MSE to be conducted under the International Scientific Committee for Tuna and Tuna-Like Species, and the whiting/hake MSE conducted under the Pacific Whiting Treaty.  
• Advance methods for social indicator development and develop guidelines for best available social science. |
| 4b1        | Develop functional system-level MSEs. | Medium-term | • Both Centers have recently hired MSE coordinators.  
• Review how existing ecosystem models on the West Coast could be used to explore system-level MSEs.  
• Identify needs for new modeling capacity to explore system-level MSEs with multiple tools.  
• Develop MSEs for Pacific whiting/hake, North Pacific albacore, and sablefish. |
Table 4: Action Items to Explore and Address Trade-Offs Within the Ecosystem

<table>
<thead>
<tr>
<th>Road Map #</th>
<th>Road Map Action Item</th>
<th>Road Map Timing</th>
<th>Associated Milestone</th>
</tr>
</thead>
<tbody>
<tr>
<td>4b2</td>
<td>Explore novel Harvest Control Rules and develop associated guidelines, especially to test and explore robust ecosystem-level strategies.</td>
<td>Long-term</td>
<td>- Support potential MSE work through analyses and model development, such as a life-cycle based model of sablefish recruitment.</td>
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<td></td>
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<td>- Continue developing and exploring productivity-based control rules in the California Current Atlantis ecosystem model.</td>
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<td></td>
<td>- Support climate–fisheries MSEs for California sardine, albacore, and swordfish as part of a NOAA-funded Coastal and Ocean Climate Applications project.</td>
</tr>
</tbody>
</table>

Figure 3: Rosy rockfish among California hydcorals, NOAA
2.5 Guiding Principle 5 – Incorporate ecosystem considerations into management advice

From EBFM Policy: NOAA Fisheries recognizes the value of placing its resource management efforts into a broader ecosystem context. Living marine resource management should consider best available ecosystem science in decision-making processes (within our legal and policy frameworks), including:

- Develop and monitor ecosystem-level reference points.
- Incorporate ecosystem considerations (as determined from the risk analysis under Guiding Principle 3) into appropriate living marine resource assessments, control rules, and management decisions.
- Provide integrated advice for other management considerations, particularly applied across multiple species within an ecosystem.

NOAA Fisheries actions under Guiding Principle 5 may be useful in support of PFMC’s FEP review and update in 2019 and beyond. The CCIEA team anticipates exploring measures of cumulative impacts on coupled natural and social systems within the CCE (Action 5a2). This work could compare individual and cumulative effects of drivers, stressors, and alternate future states in ecosystem models or scenario-planning exercises. Domains of potential drivers and stressors may include: climate variability and long-term climate change; ocean acidification; upwelling; hypoxia; changes in primary productivity; changes in frequency, intensity, and distribution of harmful algal blooms; decadal-scale shifts in dominant forage taxa; changes in higher-order predator population status; changes in human population and distribution; changes in amount and distribution of fishing effort; and nonfishing human activities (shipping, energy development, nutrient loading, pollution, nonindigenous species, etc.).

Guiding Principle 5 actions that are already underway for the West Coast include identifying best practices for incorporating ecosystem considerations into management decisions (Action 5b3). The review processes described under Guiding Principle 4 for the Atlantis ecosystem model and for annual CCIEA contributions to the PFMC process also help to identify best practices under Guiding Principle 5. We are also exploring statistical and mechanistic modeling approaches to account for shifting species distributions and changing productivity in the development of scientific advice for fisheries management.

Similar to Guiding Principle 3, Guiding Principle 5 recommends habitat-focused actions, such as exploring protocols for considering ecosystem-level information in EFH reviews and in identifying habitat areas of particular concern (Action 5c1). NOAA Fisheries’ HAIP (NMFS 2010) and the NWFSC and SWFSC Habitat Assessment Prioritization for the West Coast (Blackhart 2014) both discuss our ability to characterize EFH as reliant, in part, on our understanding of managed species’ interactions with each other and with their physical environment. We lack much of the basic data needed to simply map West Coast EFH by species distribution, as well as the data needed to characterize species interactions so as to meet the MSA’s definition of EFH, “those waters and substrate necessary to fish for spawning, breeding, feeding, or growth to maturity.” To address the habitat-specific actions under Guiding Principle 5, we are investigating habitat indicators that are more mechanistic and process-oriented. For example, we are exploring whether habitat conditions related to specific periods in salmon and groundfish life histories are correlated with overall population status. Over the longer term, a more quantitative approach for identifying marine EFH for individual species by those species’ distributions would require investment in high-resolution mapping, among other habitat identification tools. Implementing the HAIP and the EBFM Road Map to address species’ interactions with each other and their environments would also require investing in long-term improvements to our food habits data collection and analysis at both of our Science Centers.

The EBFM Road Map considers the protected species priorities under Guiding Principle 5 to be potential long-term actions, which in part means that they are dependent on uncertain future funding. However, our climate science under the WRAP could support future management reviews of long-term protected species recovery plans in keeping with the Road Map (Action 5c5). Within the next 5+ years, we are planning to test climate-informed management strategies for protected species, project future
conditions for particular salmon stocks under varying climate conditions, and examine the economic and social effects of changes in water supply and habitat protection actions.

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<th>Road Map #</th>
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| 5a2        | Explore best measures of cross-pressure and cumulative impacts in an ecosystem, in conjunction with Principle 3. | Short- to Medium-term | • Conduct simulation analyses in end-to-end qualitative or mechanistic models to explore cumulative impacts of high-priority stressors identified from risk analyses and vulnerability assessments. Collaborate across Region and Centers to select pilot projects for incorporating these analyses into National Environmental Policy Act cumulative impacts analyses.  
• Project physical and biogeochemical parameters at much finer scales (resolutions of ~10 km or less) than are currently available from global models. |
| 5b3        | Identify best practices for incorporating ecosystem considerations into management decisions. | Medium-term | • Continue participation in national ESR working group.  
• Continue annual or semi-annual reviews of ecosystem science products by PFMC’s SSC-Ecosystem-Based Management Subcommittee and other advisory bodies  
• Develop and incentivize partnerships between ecosystem scientists and stock assessment authors to write ecosystem considerations sections in single-species stock assessments.  
• Develop partnerships with FMP management teams and advisors to incorporate ecosystem advice into management recommendations.  
• In cooperation with PFMC, continue to support and work within the FEP’s ecosystem initiative process to identify best practices for incorporating ecosystem considerations into management decisions. |
<p>| 5b4        | Establish ecosystem-related terms of reference for stock assessments, stock assessment reviews, and support ecosystem-related terms of reference for status review groups, harvest control rules, and SSC review processes. | Continuing | • Action is underway with PFMC’s SSC and its Ecosystem-Based Management Subcommittee. See: Terms of Reference for the Groundfish and Coastal Pelagic Species Stock Assessment Review Process (PFMC 2018b) |</p>
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<th>Associated Milestone</th>
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<tr>
<td>5c1</td>
<td>Explore protocols for considering ecosystem-level information in EFH reviews, identifying ecosystem-level habitat areas of particular concern, and setting habitat conservation objectives and/or indicators.</td>
<td>Medium-term</td>
<td>• Evaluate and prioritize freshwater habitat restoration alternatives to find robust and cost-effective allocations of agency funds and effort.</td>
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<td>• Conduct mid-trophic (groundfish) food habits workshop to bring food habits scientists together for coastwide research planning.</td>
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<td>• Develop gap analysis for benthic habitat information, including mapping.</td>
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<td>5c5</td>
<td>Review long-term protected species recovery plans to ensure they account for the potential effects of short- and long-term climate change, particularly relating to alterations to food web structure.</td>
<td>Long-term</td>
<td>• Examine climate-driven future scenarios for U.S. West Coast hydrology and stream temperature to support freshwater lifestage management of protected salmon and sturgeon.</td>
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<td>• Develop models that characterize adaptive evolutionary and plastic responses to climate change impacts across the full lifecycle of selected salmon and steelhead stocks.</td>
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<td>• Examine potential changes in water supply and habitat protection actions for their economic and social effects beyond impacts on protected species recovery.</td>
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*Figure 4: Newport, Oregon, NOAA*
2.6 Guiding Principle 6 – Maintain resilient ecosystems

From EBFM Policy: NOAA Fisheries recognizes that its mandates are intended to sustain resilient and productive living marine resource populations and habitats, to maintain overall ecosystem structure and function, and to support the contributions that fisheries make to the socio-economic resiliency of coastal human communities. EBFM needs to develop operating protocols to maintain resilient ecosystems. Actions in support of these mandates include:

- Evaluate ecosystem-level measures of resilience to maintain core ecosystem structure, biodiversity, production, energy flow, and functioning.
- Evaluate coastal fishing community well-being.

Guiding Principle 6 takes EBFM the final step by providing value to human communities by promoting resiliency in ecosystems and in the human economies that depend on them. The actions under Guiding Principle 6 focus on the valuable services that ecosystems provide in supporting the wellbeing and resilience of human communities. The NOAA Science Advisory Board recently received a report from its Ecosystem Sciences and Management Working Group on ecosystem services valuation methods and best practices, which serves as nationwide guidance on those methods and practices (Action 6a2, ESMWG 2016, Wallmo et al. 2016).

As discussed under Guiding Principles 4 and 5, we are interested in developing an end-to-end framework to identify climate-resilient management strategies for the CCE and to evaluate the impacts of climate change on U.S.-managed marine species and fishing communities within the ecosystem. To that end, NWFSC, SWFSC, and external collaborators are in the first year of a four-year project that will model the linkages between several state and federal fisheries in the CCE and explore how interannual climate variability affects this system of fisheries and associated fishing communities (Action 4a3, 6a3). More generally, expanding data collection for community health and wellbeing metrics (Action 6b1) will help to quantify human system endpoints in support of end-to-end science efforts, but may require building capacity for social science (Action 2a2).

Guiding Principle 6 is linked to Guiding Principle 3 through Actions 3b3, Conduct Fishing Community Vulnerability Assessments, and 6b2, Adopt Community Vulnerability Analyses to a Broader Range of Cumulative Factors. We are looking into expanding community vulnerability indicators to consider a broader range of factors, in keeping with requests from PFMC and West Coast states. In particular, we are assessing recreational fishing data to develop community-level indices of connections (reliance and engagement) to recreational fishing, so that we may have recreational fishing indices that parallel existing commercial fishing indices for West Coast communities. Also in response to public interest, we are adapting the community social vulnerability and fisheries reliance index to analyze community-level data relevant to harmful algal blooms, and to develop a harmful algal bloom impacts index for West Coast communities.

Similarly, the potential effects of ocean acidification on West Coast ecosystems and human communities are significant, so we are exploring how the scale of exposure affects place-based human communities and their ability to adapt, under a project funded by the NOAA Ocean Acidification Program.
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<th>Road Map #</th>
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<th>Associated Milestone</th>
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</table>
| 6a2       | Evaluate, conduct, and track ecosystem goods and services valuation methods and best practices. | Medium - term   | • NOAA Technical Memorandum on ecosystem services from West Coast ocean recreation, including recreational fishing for finfish. Evaluate economic impacts, at U.S. and region levels, resulting from spending associated with recreational ocean fishing.  
• Conduct regional analyses of salmonid angler effort levels, angler preferences, and hatchery management practices. |
3.0 Engagement Strategy

One of the greatest challenges surrounding EBFM is translating its intent and concepts in ways that engage and involve the many internal and external stakeholders that will ultimately benefit from it—including the public and NOAA Fisheries ourselves. We have engaged with our partners and the public on ecosystem science and EBFM implementation for the last decade through many means, from public meetings to online presentations to numerous scientific publications. Going forward, we need to seek out opportunities to connect scientific advances and management decisions to EBFM in ways that make clear to the public the practical applications of EBFM in smart decision-making in fishing communities and across natural resources. This engagement strategy for the WRIP will help us take the next step, as we actually put EBFM into practice in the coming five years.

We discussed and listed our key external partners and stakeholders in Section 1. These represent only the starting point for EBFM engagement. Ultimately, our engagement should be as far-reaching and inclusive as EBFM itself, looking beyond individual processes, regulatory actions, and issues to help stakeholders, managers, and scientists understand how each fits into the larger picture of EBFM, and helping them understand their part in it. To this end, we plan to advance EBFM in the CCE through a variety of communication and engagement approaches and strategies that will inform and involve stakeholders, along three major approaches:

INFORM—We will build understanding of the CCE and its defining characteristics with balanced and objective information that helps NOAA Fisheries and our stakeholders understand the workings of the ecosystem. This will further help all of us understand the need for and purpose and benefits of EBFM, as well as the challenges and demands of putting it into practice. This approach will have definite internal and external components, recognizing that NOAA Fisheries West Coast employees must understand clearly the role of their work and priorities in EBFM, for they are both essential components of and advocates for the process.

CONSULT—EBFM will be a learning process in many ways, and we must learn from stakeholders as we proceed. We will seek out and create opportunities for consulting with stakeholders and partners about their views, reactions, and feedback on all elements of EBFM.

PARTNER—Finally, we will promote and foster existing and new partnerships across science and management, from research partners that will improve the depth and breadth of ecosystem research, to management partnerships that will help us apply EBFM throughout the CCE. Our progress will depend especially on continued close collaboration within NOAA Fisheries West Coast, and we will use opportunities such as the presentation of the CCIEA’s California Current Ecosystem Status Report and public processes involving new rules and/or regulations to promote and seek out new and additional partnerships.

Internal engagement is the first step. Internal, broad-scale engagement on this WRIP began in summer 2017, when the Northwest and Southwest Fisheries Science Centers and the West Coast Region formed a joint staff team to develop this WRIP. In late 2017, we conducted internal briefings to build support for and understanding of this plan within NOAA Fisheries, and to discuss the connections this plan may have to science and policy implementation plans for the Western Regional Action Plan on climate science (NMFS 2016a). We began external engagement in June 2018, with the public release of the draft WRIP and discussed the draft WRIP with the PFMC, its advisory bodies, and the public at the September 2018 PFMC meeting. Following the spring 2019 release of the final WRIP, we expect that engagement will focus on regularly sharing our work products from the milestones listed in the WRIP.
An example of the wealth of opportunities for engagement has been PFMC’s Climate and Communities Ecosystem Initiative. PFMC intends the initiative to build understanding of the short- and long-term impacts of climate change on the CCE, its conditions, and its inhabitants, and to identify ways to incorporate that understanding into decision-making. At the request of PFMC, NOAA Fisheries scientists provided educational webinars on:

- What do we expect to happen in the California Current under climate change?
- The state of the art for ecological forecasting at short-, medium- and long-term time frames.
- Distributional changes of CCE species and the impacts of climate change on species and species groups.
- Modeling changes in fishery participation and economic impacts in response to climate variation and climate change.

Such educational and informational efforts lay the groundwork for public release of this WRIP, which will make use of within its larger efforts to update and modernize the Region’s website. It will also include outreach to industry and interest groups and the news media. NOAA Fisheries West Coast Communications staff will coordinate web-based outreach across the Region’s and Centers’ EBFM and ecosystem science websites. The CCIEA program has recently updated its website to include trends and statuses for a wide variety of ecosystem indicators, information on ongoing projects, and access to publications.

*Figure 5: Long Beach, CA Fishing and Boat Show, NOAA*
4.0 References


Figure 6: Handline fishing albacore, NOAA
## 5.0 Acronyms and Abbreviations

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Description</th>
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<tbody>
<tr>
<td>CalCOFI</td>
<td>California Cooperative Oceanic Fisheries Investigations</td>
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<td>CCE</td>
<td>California Current Ecosystem</td>
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<td>CCIEA</td>
<td>California Current Integrated Ecosystem Assessment</td>
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<tr>
<td>EBFM</td>
<td>Ecosystem-Based Fisheries Management</td>
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<tr>
<td>EEZ</td>
<td>Exclusive Economic Zone</td>
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<tr>
<td>EFH</td>
<td>Essential fish habitat</td>
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<td>ESR</td>
<td>Ecosystem Status Report</td>
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<td>FEP</td>
<td>Fishery Ecosystem Plan</td>
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<td>FMP</td>
<td>Fishery Management Plan</td>
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<td>HAIP</td>
<td>Habitat Assessment Improvement Plan</td>
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<tr>
<td>IOOS</td>
<td>Integrated Ocean Observing System [of NOAA’s Ocean Service]</td>
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<td>MSA</td>
<td>Magnuson–Stevens Fishery Conservation and Management Act</td>
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<td>MSE</td>
<td>Management Strategy Evaluation</td>
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<tr>
<td>NCSS</td>
<td>National Climate Science Strategy</td>
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<td>NMFS</td>
<td>National Marine Fisheries Service</td>
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<td>NOAA</td>
<td>National Oceanic and Atmospheric Administration</td>
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<tr>
<td>NOAAC</td>
<td>collectively, the NOAA Fisheries West Coast Region, Northwest Fisheries Science Center, and Southwest Fisheries Science Center</td>
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<td>NWFSC</td>
<td>Northwest Fisheries Science Center [of NOAA Fisheries]</td>
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<td>PFMC</td>
<td>Pacific Fishery Management Council</td>
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<td>RFMO</td>
<td>Regional Fisheries Management Organizations</td>
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<tr>
<td>SAIP</td>
<td>Stock Assessment Improvement Plan</td>
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<tr>
<td>SSC</td>
<td>Scientific and Statistical Committee [of PFMC]</td>
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<tr>
<td>SWFSC</td>
<td>Southwest Fisheries Science Center [of NOAA Fisheries]</td>
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<tr>
<td>WCR</td>
<td>West Coast Region [of NOAA Fisheries]</td>
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<tr>
<td>WRAP</td>
<td>Western Regional Action Plan [for the National Climate Science Strategy]</td>
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<tr>
<td>WRIP</td>
<td>Western Regional Implementation Plan [for the EBFM Road Map – this document]</td>
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Cover images: juvenile rockfish on Cordell Bank, NOAA; salmon troller off Oregon, NOAA.