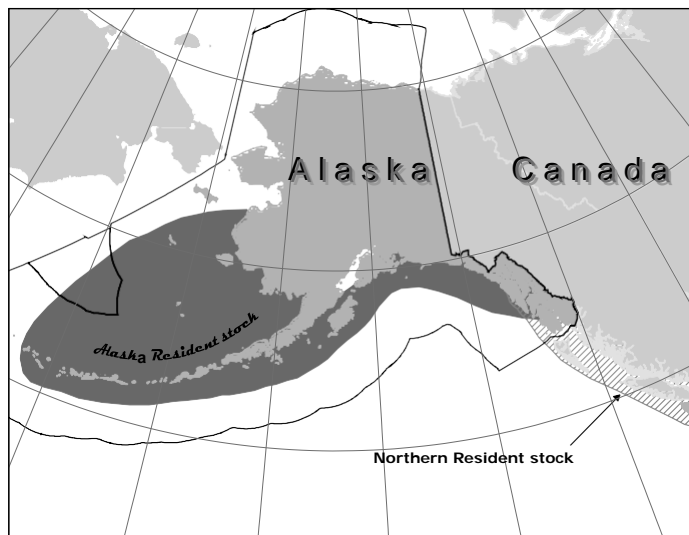


## KILLER WHALE (*Orcinus orca*): Eastern North Pacific Alaska Resident Stock

### STOCK DEFINITION AND GEOGRAPHIC RANGE

Killer whales have been observed in all oceans and seas of the world (Leatherwood and Dahlheim 1978). Although reported from tropical and offshore waters, killer whales occur at higher densities in colder and more productive waters of both hemispheres, with the greatest densities found at high latitudes (Mitchell 1975, Leatherwood and Dahlheim 1978, and Forney and Wade, 2006). Killer whales are found throughout the North Pacific. Along the west coast of North America, killer whales occur along the entire Alaskan coast (Braham and Dahlheim 1982), in British Columbia and Washington inland waterways (Bigg et al. 1990), and along the outer coasts of Washington, Oregon, and California (Green et al. 1992; Barlow 1995, 1997; Forney et al. 1995). Seasonal and year-round occurrence has been noted for killer whales throughout Alaska (Braham and Dahlheim 1982) and in the intracoastal waterways of British Columbia and Washington State, where pods



have been labeled as 'resident,' 'transient,' and 'offshore' (Bigg et al. 1990, Ford et al. 2000) based on aspects of morphology, ecology, genetics, acoustics and behavior (Ford and Fisher 1982; Baird and Stacey 1988; Baird et al. 1992; Hoelzel et al. 1998, 2002; Barrett-Lennard 2000). Through examination of photographs of recognizable individuals and pods, movements of whales between geographical areas have been documented. For example, whales identified in Prince William Sound have been observed near Kodiak Island (Matkin et al. 1999) and whales identified in southeastern Alaska have been observed in Prince William Sound, British Columbia, and Puget Sound (Leatherwood et al. 1990, Dahlheim et al. 1997). Movements of killer whales between the waters of southeastern Alaska and central California have also been documented (Goley and Straley 1994).

**Figure 21.** Approximate distribution of killer whales in the eastern North Pacific (shaded area). The distribution of the eastern North Pacific Resident and Transient stocks are largely overlapping (see text).

Several studies provide evidence that the 'resident,' 'offshore,' and 'transient' ecotypes are genetically distinct in both mtDNA and nuclear DNA (Hoelzel and Dover 1991; Hoelzel et al. 1998, 2002; Barrett-Lennard 2000). Genetic differences have also been found between populations within the 'transient' and 'resident' ecotypes (Hoelzel et al. 1998, 2002; Barrett-Lennard 2000). Separate stock assessment reports have always acknowledged the distinction between resident, offshore, and transient killer whale populations.

Within the resident ecotype, association data were used to describe three separate populations in the North Pacific: Southern Residents, Northern Residents and Alaska Residents (Bigg et al. 1990; Ford et al. 1994, 2000; Matkin et al. 1999; Dahlheim et al. 1997). In previous stock assessment reports, the Alaska and Northern Resident populations were considered one stock. Acoustic data (Ford 1989, 1991; Yurk et al. 2002) and genetic data (Hoelzel et al. 1998, 2002; Barrett-Lennard 2000) have now confirmed that these three units represent discrete populations. The Southern Resident population is found in summer primarily in waters of Washington state and southern British Columbia and has never been seen to associate with other resident stocks. The Northern Resident population is found in summer primarily in central and northern British Columbia. Members of the Northern Resident population have been documented in southeastern Alaska; however, they have not been seen to intermix with Alaskan residents. Alaskan resident whales are found from southeastern Alaska to the Aleutian Islands and Bering Sea. Intermixing of Alaska residents have been documented among the three areas.

Based on data regarding association patterns, movements, acoustics, and genetic differences, eight killer whale stocks are now recognized within the Pacific U.S. EEZ: 1) the Alaska Resident stock - occurring from

southeastern Alaska to the Aleutian Islands and Bering Sea, 2) the Northern Resident stock - occurring from British Columbia through part of southeastern Alaska, 3) the Southern Resident stock - occurring mainly within the inland waters of Washington State and southern British Columbia, but also in coastal waters from British Columbia through California, 4) the Gulf of Alaska, Aleutian Islands, and Bering Sea Transient stock - occurring mainly from Prince William Sound through the Aleutian Islands and Bering Sea (see Fig. 21), 5) the AT1 transient stock - occurring in Alaska from Prince William Sound through the Kenai Fjords, 6) the West Coast transient stock - occurring from California through southeastern Alaska, 7) the Offshore stock - occurring from California through Alaska, and 8) the Hawaiian stock. 'Transient' whales in Canadian waters are considered part of the West Coast Transient stock. The Stock Assessment Reports for the Alaska Region contain information concerning all the killer whale stocks except the Hawaiian and Offshore stocks.

Movement data on Alaska Resident stock members have been documented based on photographic matches. Southeastern Alaska killer whale pods have been seen in Prince William Sound (Matkin et al. 1997) and in the Gulf of Alaska. Prince William Sound pods have been seen near Kodiak Island but never observed in southeastern Alaska (Matkin et al. 2003, Dahlheim et al. 1997). New information on movements of western Alaska killer whales is being analyzed. However, recent studies have documented movements between the Bering Sea and Gulf of Alaska (NMML unpublished data).

### POPULATION SIZE

The Alaska Resident stock includes killer whales from southeastern Alaska to the Aleutian Islands and Bering Sea. Preliminary analysis of photographic data resulted in the following minimum counts for 'resident' killer whales belonging to the Alaska Resident stock (Note: individual whales have been matched between geographical regions and missing animals likely to be dead have been subtracted). In southeastern Alaska, 117 'resident' whales have been identified as of 2004 (NMML and North Gulf Oceanic Society (NGOS), 3430 Main Street, Suite B1, Homer, Alaska; unpublished data). In Prince William Sound and Kenai Fjords, another 501 resident whales have been identified as of 2004 (Matkin et al. 2003; C. Matkin, North Gulf Oceanic Society, pers. comm.). In the last stock assessment, a minimum count of 68 western Alaska whales were added to the count because photo-identification data indicated that they associate with Prince William Sound whales. Given that this information is now over 10 years old, we opted to deduct these 68 whales from the current counts because there is no way to know whether these animals are alive.

Beginning in 2001, dedicated killer whale studies were initiated by NMML in Alaska waters west of Kodiak Island, including the Aleutian Islands and Bering Sea. Between 2001 and 2003 (not all data from 2003 have been analyzed), using field assessments based on morphology, association data, and genetic analyses, additional resident whales have now been added to the Alaska resident stock. Internal matches within the NMML data set have been subtracted, resulting in a final count of western Alaska residents for 2001 and 2003 as 464 whales. Studies conducted in western Alaska by the NGOS have resulted in the collection of photographs of approximately 600 resident killer whales; however, the NGOS and NMML data sets have not yet been matched so it is unknown how many of these 600 animals are included in the NMML collection. Another 41 whales were identified off Kodiak between 2000 and 2003 by the NGOS. These whales are added to the total of western Alaska residents although they have not been matched to NMML photographs.

NMML conducted killer whale line-transect surveys for 3 years in July and August in 2001-2003. These surveys covered an area from approximately Resurrection Bay in the Kenai Fjords to the central Aleutians. The surveys covered an area from shore to 30-45 nautical miles offshore, with randomly located transects in a zigzag pattern. A total of 9053 km of tracklines were surveyed between the Kenai Peninsula (~150°W) and Amchitka Pass (~179°W). A total of 41 on-effort sightings of killer whales were recorded, with an additional 16 sightings off-effort. Estimated abundance of resident killer whale from these surveys was 991 (CV = 0.52), with 95% confidence interval of 380-2585 (Zerbini et al. 2007).

The line transect surveys provide an "instantaneous" (across ~40 days) estimate of the number of resident killer whales in the survey area. It should be noted that the photographic catalogue encompasses a larger area, including some data from areas such as Prince William Sound and the Bering Sea that were outside the line-transect survey area. Additionally, the number of whales in the photographic catalogue is a documentation of all whales seen in the area over the time period of the catalogue; movements of some individual whales have been documented between the line-transect survey area and locations outside the survey area. Accordingly, a larger number of resident killer whales may use the line-transect survey area at some point over the 3 years than would necessarily be found at one time in the survey area in July and August in a particular year.

Combining the counts of known 'resident' whales gives a minimum number of 1,123 (Southeast Alaska + Prince William Sound + Western Alaska; 117 + 501 + 505) killer whales belonging to the Alaska Resident stock (Table 26).

**Table 26.** Numbers of animals in each pod of killer whales belonging to the Alaska Resident stock of killer whales. A number followed by a "+" indicates a minimum count for that pod.

<b>Pod ID</b>	<b>1999/2000 estimate (and source)</b>	<b>2001/2004 estimate (and Source)</b>
<b>Southeast Alaska</b>		
AF	49 (Dahlheim et al. 1997, Matkin et al. 1999)	61 (C. Matkin, NGOS, pers. comm.)
AG	27 (Dahlheim et al. 1997, Matkin et al. 1999)	33 (C. Matkin, NGOS, pers. comm.)
AZ	23+ (Dahlheim, AFSC-NMML, pers. comm.)	23+ (Dahlheim et al. 1997)
<b>Total, Southeast Alaska</b>	<b>99+</b>	<b>117+</b>
<b>Prince William Sound</b>		
	<b>Matkin et al. 1999</b>	<b>Matkin et al. 2003 and C. Matkin, NGOS, pers. comm.</b>
AA	---	8
AB	25	19
AB25	---	10
AD05	---	16
AD16	7	4
AE	16	19
AH01		9
AH20		12
AI	7	7
AJ	38	42
AK	12	13
AN10	20	27
AN20	assume 9	33
AS	assume 20	21
AS30		14
AW		24
AX01	21	20
AX27		24
AX32		15
AX40		14
AX48		20
AY	assume 11	18
Unassigned to pods	138 (C. Matkin, NGOS, pers. comm.)	112
<b>Total, Prince William Sound</b>	<b>341</b>	<b>501</b>
<b>Western Alaska</b>		
	<b>Dahlheim 1997 and NMML unpublished data</b>	<b>2001/2003 NMML unpublished data</b>
Unassigned to pods (NMML)	68+	464
Unassigned to pods (NGOS; Kodiak waters only)		41 (C. Matkin, NGOS, pers. comm.)
<b>Total, Western Alaska</b>	<b>68+</b>	<b>505</b>
<b>Total, all areas</b>	<b>507</b>	<b>1,123</b>

### **Minimum Population Estimate**

The survey technique utilized for obtaining the abundance estimate of killer whales is a direct count of individually identifiable animals. Thus the minimum population estimate ( $N_{\text{MIN}}$ ) for the Alaska Resident stock of killer whales is 1,123 animals. Other estimates of the overall population size (i.e.,  $N_{\text{BEST}}$ ) and associated  $CV(N)$  are not currently available. Given that researchers continue to identify new whales, the estimate of abundance based on the number of uniquely identified individuals known to be alive is likely conservative. However, the rate of discovering new resident whales within southeastern Alaska and Prince William Sound is relatively low (NMML unpublished data). Conversely, the rate of discovery of new whales in western Alaska was initially high (i.e., 2001 and 2002 field seasons). However, recent photographic data collected during 2003 and preliminary data from 2004 indicates that the rate of discovering new individual whales has decreased (NMML unpublished data).

Using the line-transect estimate of 991 ( $CV = 0.52$ ) results in an estimate of  $N_{\text{MIN}}$  (20th percentile) of 656. This is lower than the minimum number of individuals identified from photographs in recent years, so the photographic catalogue number is used for PBR calculations.

Some overlap of Northern Resident whales occur with the Alaska Resident stock in southeastern Alaska. However, information on the percentage of time that the Northern Resident stock spends in Alaskan waters is unknown. However, as noted above, this minimum population estimate is considered conservative. This approach is consistent with the recommendations of the Alaska Scientific Review Group (DeMaster 1996).

### **Current Population Trend**

Recent data from Matkin et al. (2003) indicate that the component of the Alaska resident stock that summers in the Prince William Sound and Kenai Fjords area is increasing. With the exception of AB pod, which declined drastically after the *Exxon Valdez* oil spill and has not yet recovered, the component of the Alaska resident stock in the Prince William Sound and Kenai Fjords area has increased 3.3% per year from 1984 to 2002. Although the current minimum population count of 1,123 is higher than the last population count of 507, examination of only count data does not provide a direct indication of the net recruitment into the population. At present, reliable data on trends in population abundance for the entire Alaska resident stock of killer whales are unavailable.

### **CURRENT AND MAXIMUM NET PRODUCTIVITY RATES**

A reliable estimate of the maximum net productivity rate is currently unavailable for this stock of killer whales. Studies of 'resident' killer whale pods in the Pacific Northwest resulted in estimated population growth rates of 2.92% and 2.54% over the period from 1973 to 1987 (Olesiuk et al. 1990, Brault and Caswell 1993), and 3.3% over the period 1984-2002 (Matkin et al. 2003). Until additional stock-specific data become available, it is recommended that the cetacean maximum theoretical net productivity rate ( $R_{\text{MAX}}$ ) of 4% be employed for this stock (Wade and Angliss 1997).

### **POTENTIAL BIOLOGICAL REMOVAL**

Under the 1994 reauthorized Marine Mammal Protection Act (MMPA), the potential biological removal (PBR) is defined as the product of the minimum population estimate, one-half the maximum theoretical net productivity rate, and a recovery factor:  $PBR = N_{\text{MIN}} \cdot 0.5R_{\text{MAX}} \cdot F_R$ . The recovery factor ( $F_R$ ) for this stock is 0.5, the value for cetacean stocks with unknown population status (Wade and Angliss 1997). Thus, for the Eastern North Pacific Alaska Resident killer whale stock,  $PBR = 11.2$  animals ( $1,123 \cdot 0.02 \cdot 0.5$ ).

### **ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY**

#### **Fisheries Information**

In previous assessments, there were six different commercial fisheries in Alaska that could have had incidental serious injuries or mortalities of killer whales and were observed. In 2004, the definitions of these commercial fisheries were changed to reflect target species; this new definition has resulted in the identification of 22 observed fisheries that use trawl, longline, or pot gear. Of these fisheries, there were three which incurred serious injuries or mortalities of killer whales (any stock) between 2000 and 2004: the BSAI flatfish trawl, the BSAI pollock trawl, and the BSAI Pacific cod longline. The mean annual (total) mortality rate for all fisheries for 2000-2004 was 1.9 ( $CV = 0.42$ ). Estimates of marine mammal serious injury/mortality in each of these observed fisheries are provided in Perez (2006).

Over the past few years, observers have collected tissue samples of many of the killer whales which were killed incidental to commercial fisheries. Genetics analyses of samples from the killer whales have indicated that the mortalities incidental to the BSAI flatfish trawl and the BSAI Pacific cod fisheries are of the “resident” type, and mortalities incidental to the BSAI pollock trawl fishery are of the “transient” type (M. Dahlheim, pers. comm.). Thus, the mean annual estimated level of serious injury and mortality of Alaska resident killer whales is 1.48/year (Table 27).

Typically, if serious injury and mortality occurs incidental to commercial fishing, it is due to interactions with the fishing gear. However, reports indicate that observed killer whale mortalities incidental to the BSAI flatfish trawl fishery occur due to contact with the ship’s propeller.

**Table 27.** Summary of incidental mortality of killer whales (Alaska resident stock) due to commercial fisheries from 2000 to 2004 and calculation of the mean annual mortality rate. Details of how percent observer coverage is measured is included in Appendix 6.

Fishery name	Years	Data type	Observer coverage	Observed mortality (in given yrs.)	Estimated mortality (in given yrs.)	Mean annual mortality
BSAI flatfish trawl	2000	obs data	64.5	0	0	0.64 (CV = 0.44)
	2001		57.6	1	1.5	
	2002		58.4	0	0	
	2003		64.1	0	0	
	2004		64.3	1 <sup>1</sup>	1.8	
BSAI Pacific cod longline	2000	obs data	35.2	0	0	0.84 (CV = 0.87)
	2001		29.5	0	0	
	2002		29.6	0	0	
	2003		29.9	1	4.2	
	2004		23.8	0	0	
Estimated total annual mortality						1.48 (CV = 0.53)

<sup>1</sup> A second killer whale mortality may have occurred in 2004; genetics results determining whether the samples are from one, or two individuals, are pending.

The estimated minimum mortality rate incidental to U. S. commercial fisheries recently monitored is 1.5 animals per year, based exclusively on observer data.

#### **Subsistence/Native Harvest Information**

There are no reports of a subsistence harvest of killer whales in Alaska.

#### **Other Mortality**

During the 1992 killer whale surveys conducted in the Bering Sea and western Gulf of Alaska, 9 of 182 (4.9%) individual whales in 7 of the 12 (58%) pods encountered had evidence of bullet wounds (Dahlheim and Waite 1993). The relationship between wounding due to shooting and survival is unknown. In Prince William Sound, the pod responsible for most of the fishery interactions has experienced a high level of mortality: between 1986 and 1991, 22 whales out of a pod of 37 (59%) are missing and considered dead (Matkin et al. 1994). The cause of death for these whales is unknown, but it may be related to gunshot wounds or effects of the *Exxon Valdez* oil spill (Dahlheim and Matkin 1994). It is unknown what group or groups of individuals are responsible for shooting at killer whales.

There have been no obvious bullet wounds observed on killer whales during recent surveys in the Bering Sea and western Gulf of Alaska (J. Durban, NMML, pers. comm.). However, researchers have reported that killer whale pods in certain areas exhibit vessel avoidance behavior, which may indicate that shootings occur in some places.

#### **Other Issues**

Killer whales are known to predate on longline catch in the Bering Sea (Dahlheim 1988; Yano and Dahlheim 1995; Perez 2003; Sigler et al. 2002; Perez 2006) and in the Gulf of Alaska (Sigler et al. 2002, Perez 2006). In addition, there are many reports of killer whales consuming the processing waste of Bering Sea

groundfish trawl fishing vessels (Perez 2006). However, the ‘resident’ stock of killer whales is most likely to be involved in such fishery interactions since these whales are known to be fish eaters, while ‘transient’ whales have only been observed feeding on marine mammals.

Recently, several fisheries observers reported that large groups of killer whales in the Bering Sea have followed vessels for days at a time, actively consuming the processing waste (Fishery Observer Program, unpubl. data, Alaska Fisheries Science Center, 7600 Sand Point Way NE, Seattle, WA 98115). On some vessels, the waste is discharged in the vicinity of the vessel’s propeller (NMFS unpublished data); consumption of the processing waste in the vicinity of the propeller may be the cause of the propeller-caused mortalities of resident killer whales in the BSAI flatfish trawl fishery.

## STATUS OF STOCK

The eastern North Pacific Alaska Resident stock of killer whales is not listed as “depleted” under the MMPA or listed as “threatened” or “endangered” under the Endangered Species Act. The minimum abundance estimate for the Alaska Resident stock is likely underestimated because researchers continue to encounter new whales in the Gulf of Alaska and western Alaskan waters. Because the population estimate is likely to be conservative, the PBR is also conservative.

Based on currently available data, the estimated annual U. S. commercial fishery-related mortality level (1.5) exceeds 10% of the PBR (1.1) and therefore cannot be considered to be insignificant and approaching zero mortality and serious injury rate. The estimated annual level of human-caused mortality and serious injury (1.5 animals per year) is not known to exceed the PBR (11.2). Therefore, the eastern North Pacific Alaska Resident stock of killer whales is not classified as a strategic stock. Population trends and status of this stock relative to its Optimum Sustainable Population size are currently unknown.

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